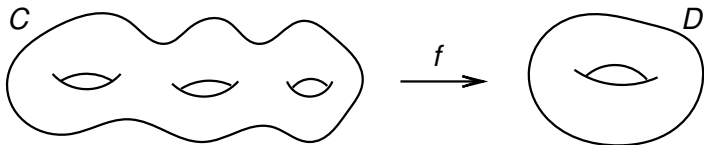


**Real Hurwitz numbers**  
**Counting ramified covers with real structures**

## Hurwitz numbers

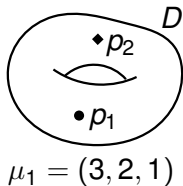
Count ramified covers of Riemann surfaces!



## Hurwitz numbers

Count ramified covers of Riemann surfaces!

- ▶ Input: Surface  $D$ ,  $p_1, \dots, p_n \in D$ ,  $g \in \mathbb{N}_0$ ,  $d \in \mathbb{N}$ ,  
 $\mu_1, \dots, \mu_n$  partitions of  $d$

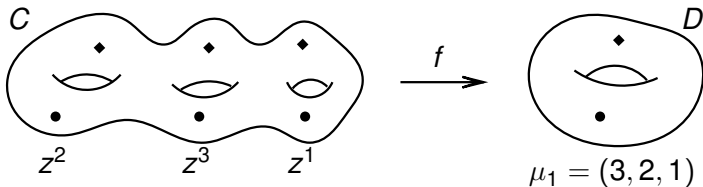


## Hurwitz numbers

Count ramified covers of Riemann surfaces!

- ▶ Input: Surface  $D$ ,  $p_1, \dots, p_n \in D$ ,  $g \in \mathbb{N}_0$ ,  $d \in \mathbb{N}$ ,  
 $\mu_1, \dots, \mu_n$  partitions of  $d$
- ▶ Consider  $f : C \rightarrow D$  with  $g(C) = g$  of degree  $d$  with  
ramification profile  $\mu_j$  over  $p_j$ .

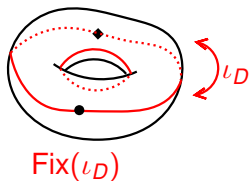
$$H_g^C(D, \mu) := \#\{(C, f)\}/\text{isom.}$$



## Real Hurwitz numbers

- ▶ Fix **real structure**:

$\iota_D : D \rightarrow D$  anti-holomorphic involution ( $\iota_D^2 = \text{id}$ )



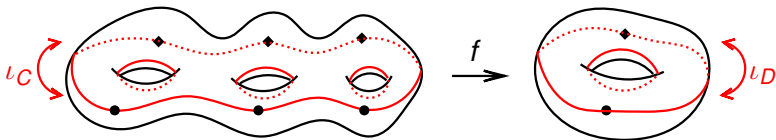
## Real Hurwitz numbers

- ▶ Fix **real structure**:

$\iota_D : D \rightarrow D$  anti-holomorphic involution ( $\iota_D^2 = \text{id}$ )

- ▶ Consider **real covers**  $(C, f, \iota_C)$  with

$$\iota_D \circ f = f \circ \iota_C.$$



$$H_g^{\mathbb{R}}((D, \iota_D), \mathcal{P}, \mu) := \#\{(C, f, \iota_C)\} / \text{real isom.}$$

## Theorem (Markwig, Guay-Paquet, R., 2014)

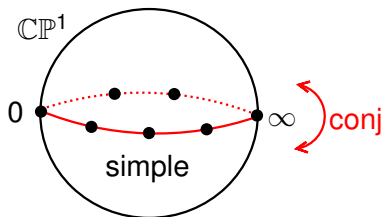
- ▶ *Tropical degeneration formula*

$$H_g^{\mathbb{R}}((D, \iota_C), \mathcal{P}, \mu) = \sum \text{products of "smaller" } H_g^{\mathbb{R}}(\dots)$$

- ▶ *Explicit combinatorial calculation for real double Hurwitz numbers*
- ▶ *Some results on combinatorics, generating functions of these numbers*

Similar results for  $H_g^{\mathbb{C}}(D, \mu)$  by [Cavalieri-Johnson-Markwig] and [Bertrand-Brugallé-Mikhalkin]

## Double Hurwitz numbers



$$\text{Fix}(\text{conj}) = \mathbb{R}P^1$$

- ▶  $D = \mathbb{C}P^1$ ,  $\iota_D = \text{conj}$ ,  $\text{Fix}(\iota_D) = \mathbb{R}P^1$
- ▶  $\mathcal{P} = \{0, \infty, s \text{ points in } \mathbb{R}_+, \text{ remaining points in } \mathbb{R}_-\}$
- ▶ ramification profile  $\lambda$  for  $0$ ,  $\nu$  for  $\infty$  (arbitrary)  
simple ramification, i.e.  $(21 \dots 1)$ , at all other points

$$H_g^{\mathbb{R}}(s, \lambda, \nu)$$

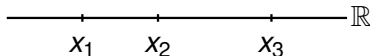
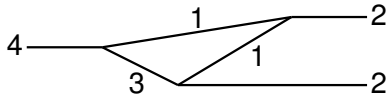
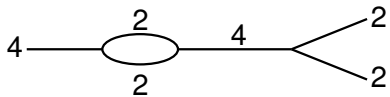


## Tropical ramified covers

Fix  $x_j \in \mathbb{R}$ .

A **tropical cover** is given by

- ▶ a trivalent metric graph  $\Gamma$ ,
- ▶ a pw. integer-linear map  $f : \Gamma \rightarrow \mathbb{R}$ ,  
i.e. on each edge  $e$   
 $z \mapsto \omega_e z, \omega_e \in \mathbb{Z}$ ,



here:  $g = 1$ ,  $\lambda = (4)$ ,  $\mu = (22)$

## Tropical ramified covers

Fix  $x_j \in \mathbb{R}$ .

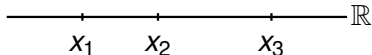
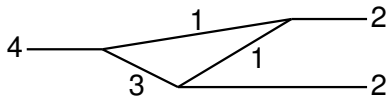
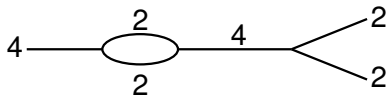
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 $z \mapsto \omega_e z, \omega_e \in \mathbb{Z}$ ,

such that

- ▶ vertices are mapped one-to-one to marks  $x_j$ ,
- ▶ the balancing condition holds at each vertex.

$$\sum_{e \text{ in-coming}} \omega_e = \sum_{e \text{ out-going}} \omega_e$$



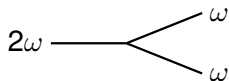
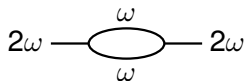
here:  $g = 1, \lambda = (4), \mu = (22)$

## Real tropical covers

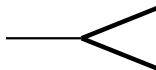
A **real coloring** of  $f : \Gamma \rightarrow \mathbb{R}$ .

We can

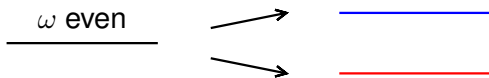
- ▶ **flip** some balanced wieners and forks,



flipped



- ▶ color (unflipped) even edges in two ways, **blue** and **red**,

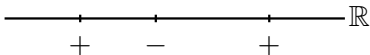


such that **blue** and **red** edges do not touch!

## Admissible vertices

Choose a number of  $s$  of the marks  $x_i$  to be **positive**, the others are **negative**.

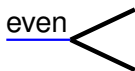
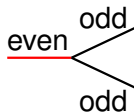
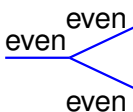
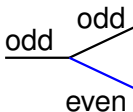
$$s = 2$$



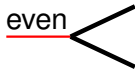
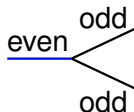
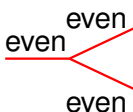
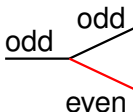
## Admissible vertices

Choose a number of  $s$  of the marks  $x_i$  to be **positive**, the others are **negative**.

Over a positive  $x_i$  we only allow:



Over a negative  $x_i$  we only allow:



## Theorem (Markwig, Guay-Paquet, R., 2014)

We count **real tropical covers** (i.e. tropical covers with real coloring) with the multiplicity

$$2^{E-B} \cdot \prod_{i=1}^n \omega_i,$$

where

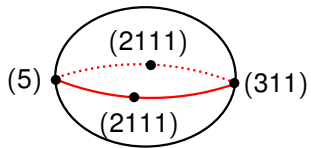
- ▶  $E = \#$  even bounded unflipped edges,
- ▶  $B = \#$  balanced forks and wieners,
- ▶ the product runs over all flipped wieners (with edge weight  $\omega_i$ ).

This count equals  $H_g^{\mathbb{R}}(s, \lambda, \nu)$ .

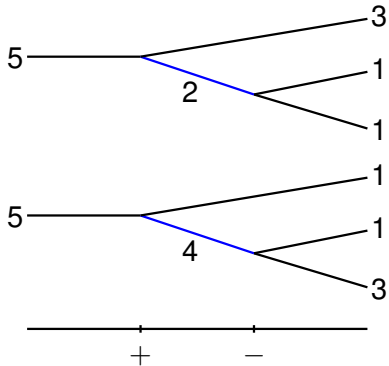
### Example

$$g = 0, \lambda = (5), \nu = (311)$$

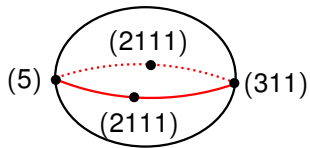
**s=1**



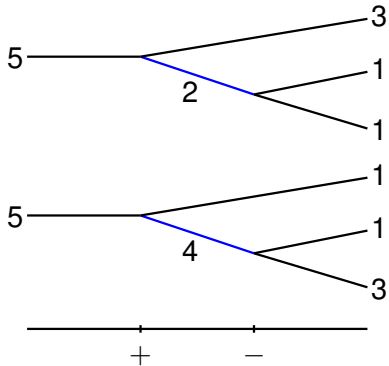
$$H_g^{\mathbb{R}}(1, \lambda, \nu) = 1 + 2 = 3$$



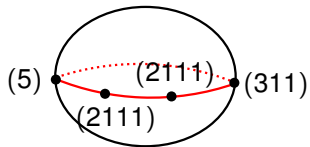
**s=1**



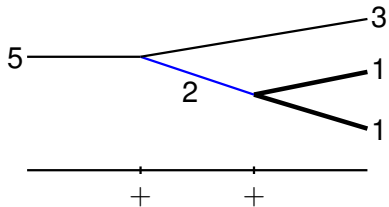
$$H_g^{\mathbb{R}}(1, \lambda, \nu) = 1 + 2 = 3$$



**s=2**



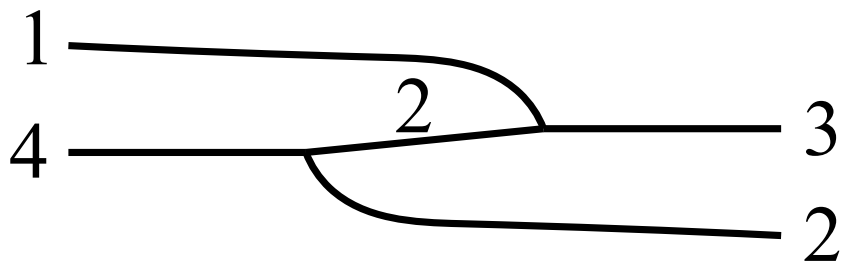
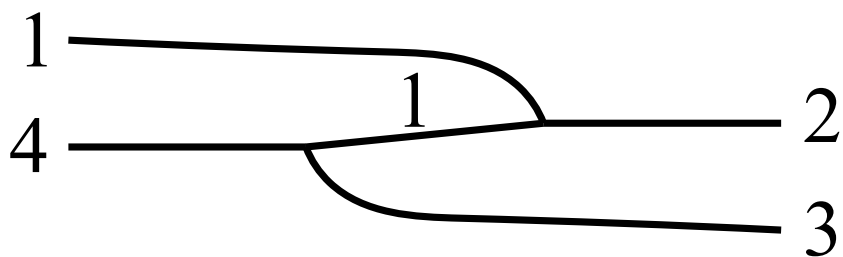
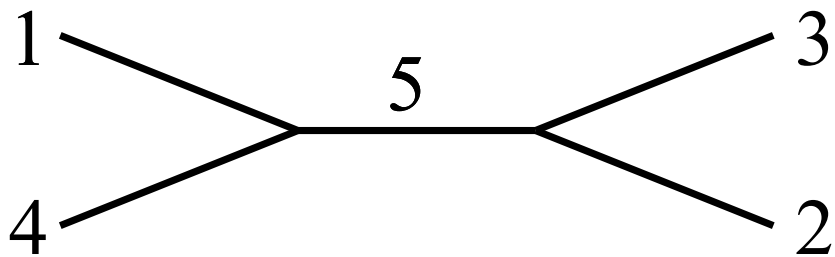
$$H_g^{\mathbb{R}}(2, \lambda, \nu) = 1$$





# Beispiel

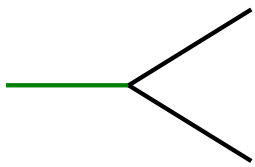
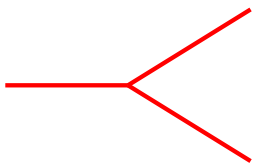
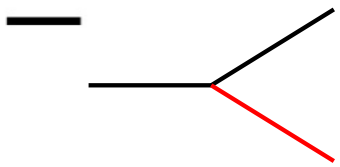
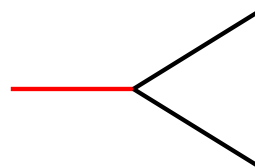
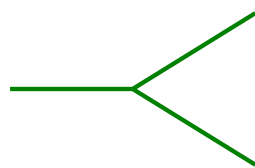
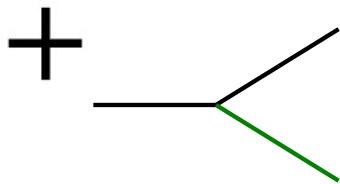
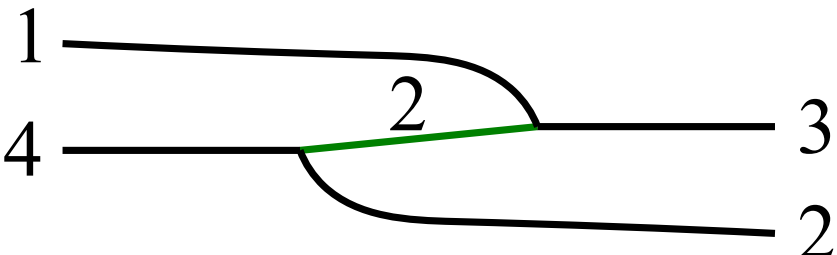
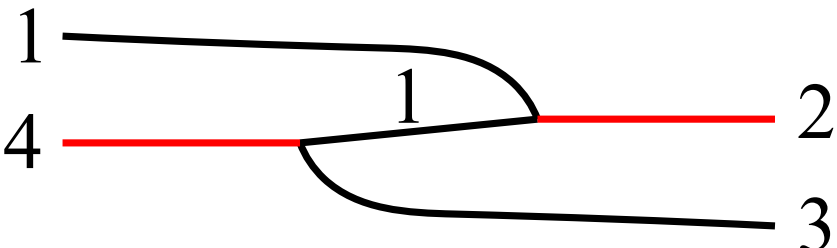
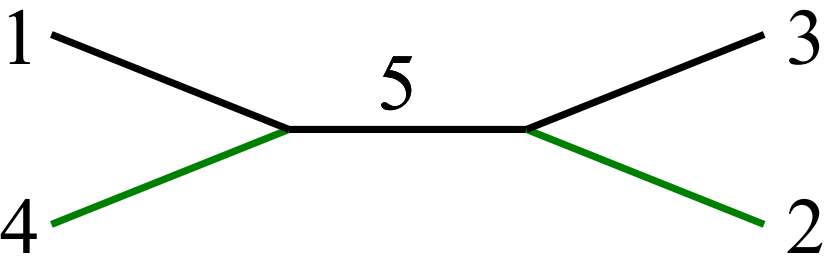
$$g = 0, d = 5$$
$$\lambda = (4, 1), \mu = (3, 2)$$



# Beispiel

$$m = 2$$

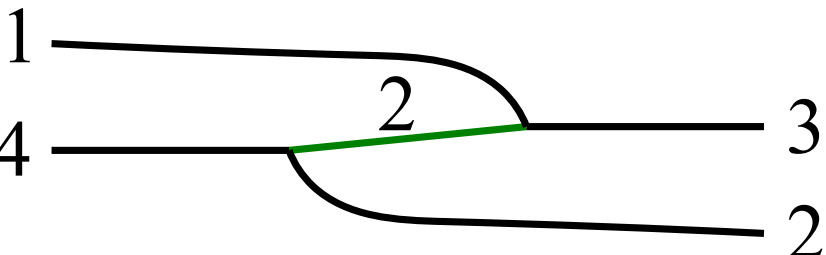
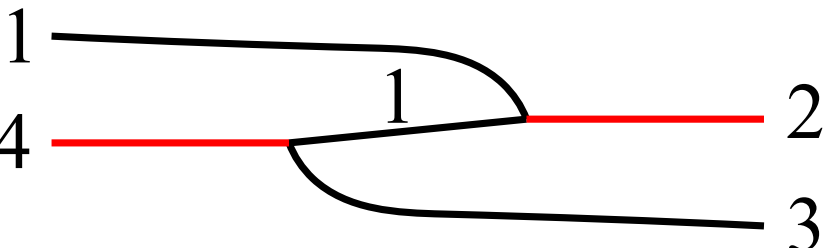
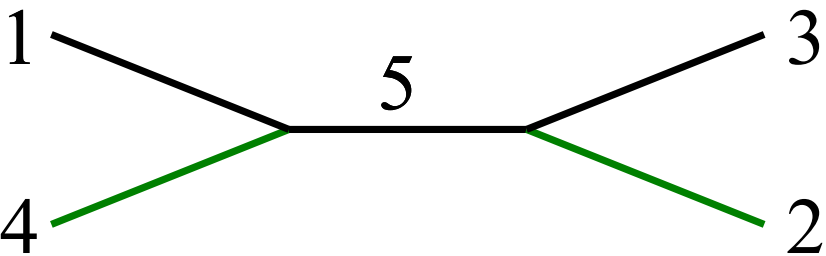
$$v = (++)$$



# Beispiel

$$m = 2$$

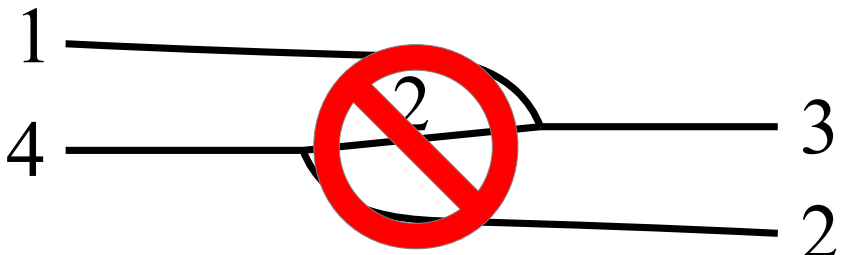
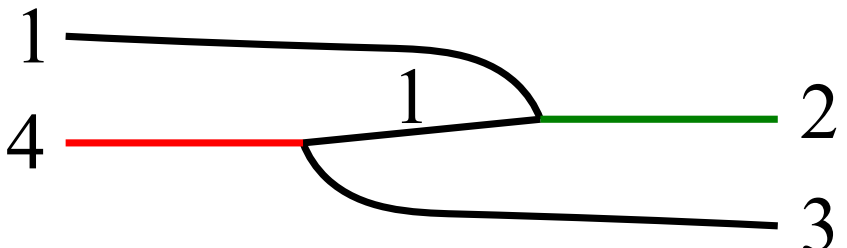
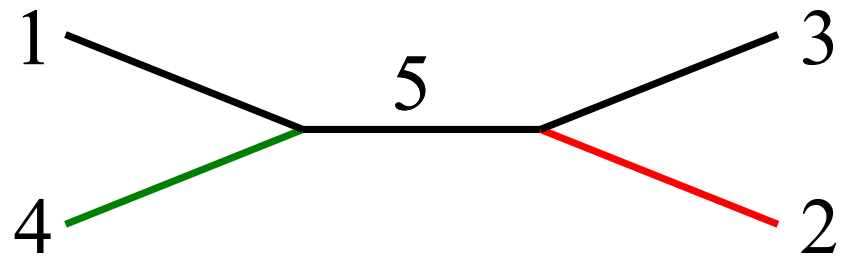
$$v = (++)$$



$$1 + 1 + 2 = 4$$

$$m = 1$$

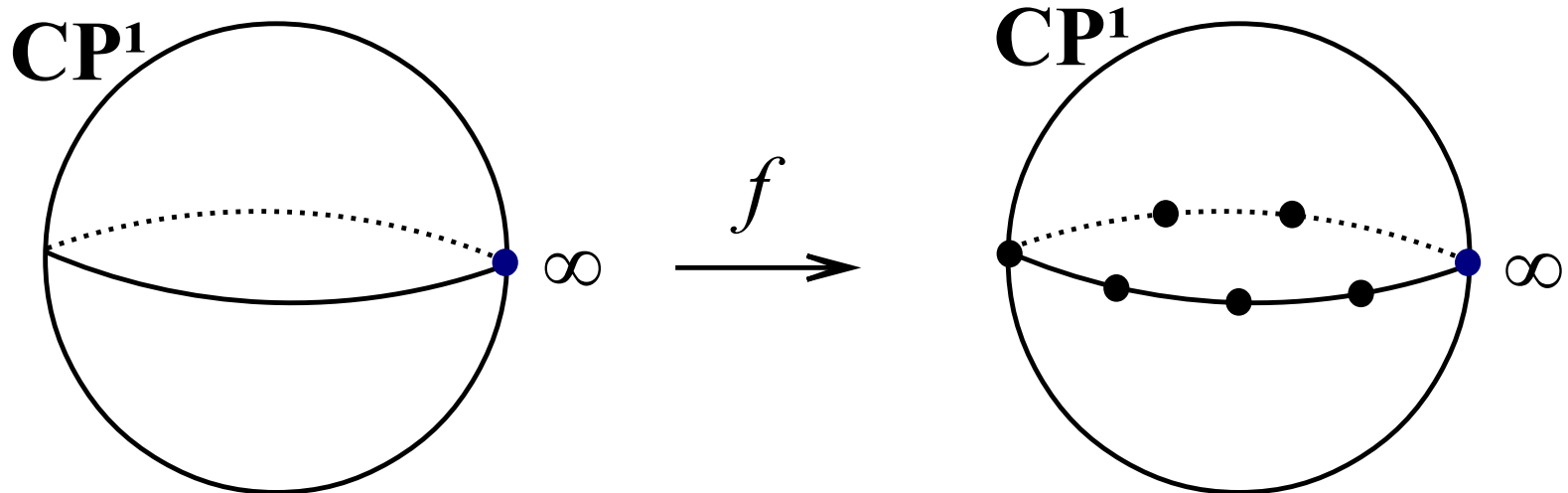
$$v = (+-)$$



$$1 + 1 + 0 = 2$$

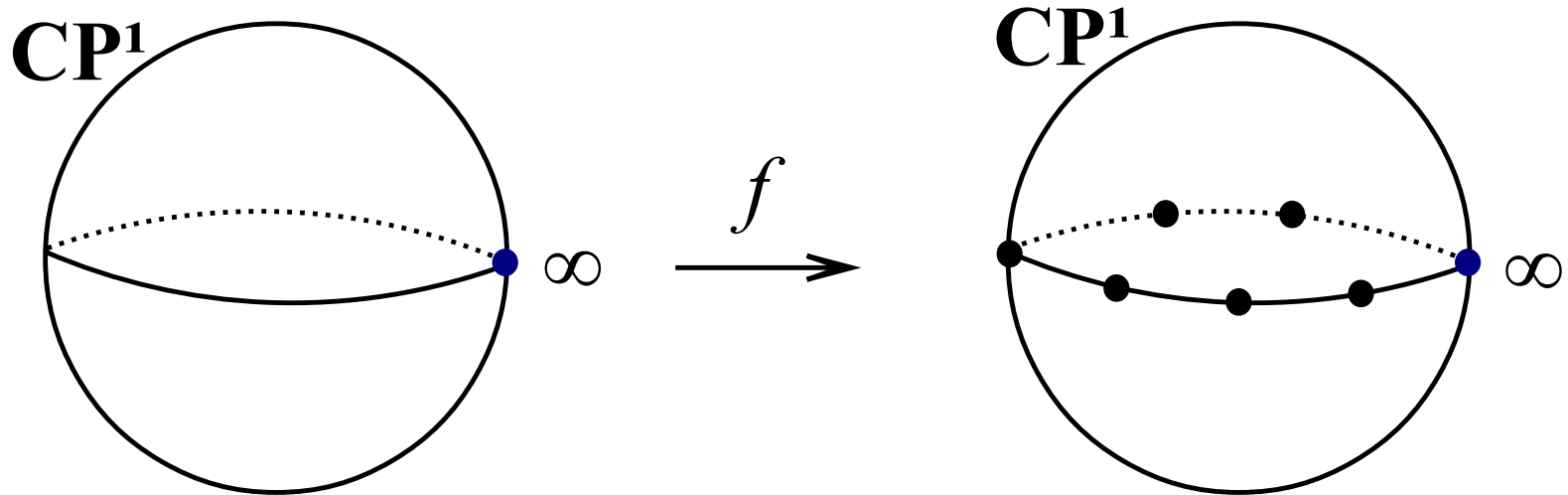
# Spezialfall: Polynome

$$g = 0 \quad \text{und} \quad \lambda_\infty = (d) \quad \text{bzw.} \quad f^{-1}(\infty) = \{\infty\}$$



# Spezialfall: Polynome

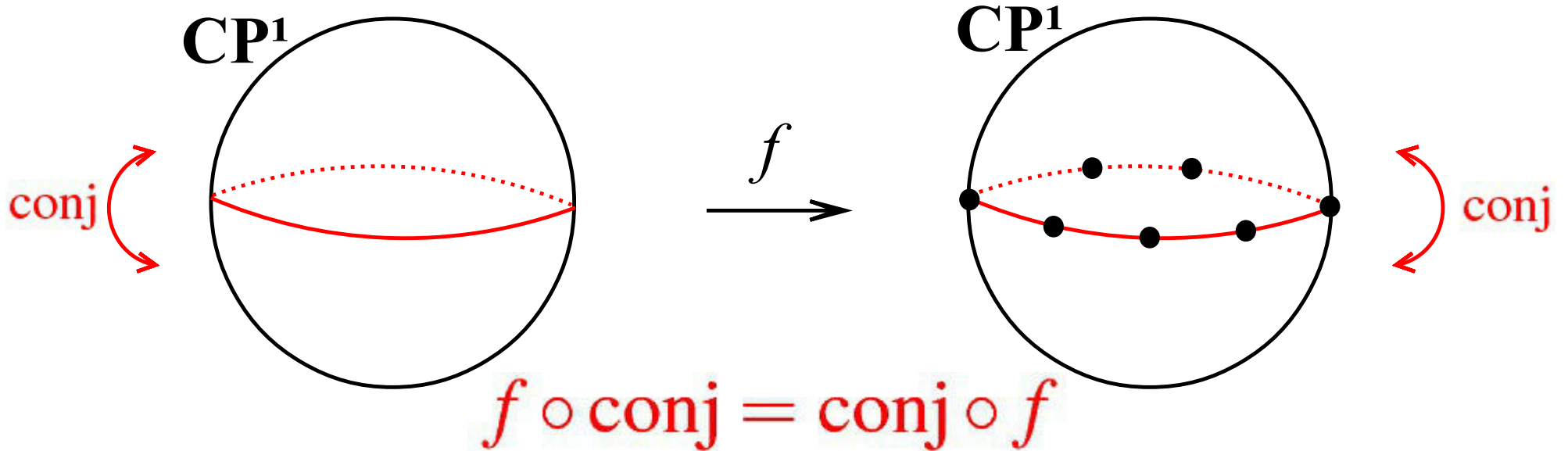
$$g = 0 \quad \text{und} \quad \lambda_\infty = (d) \quad \text{bzw.} \quad f^{-1}(\infty) = \{\infty\}$$



$$\Rightarrow f \in \mathbf{C}[x]$$

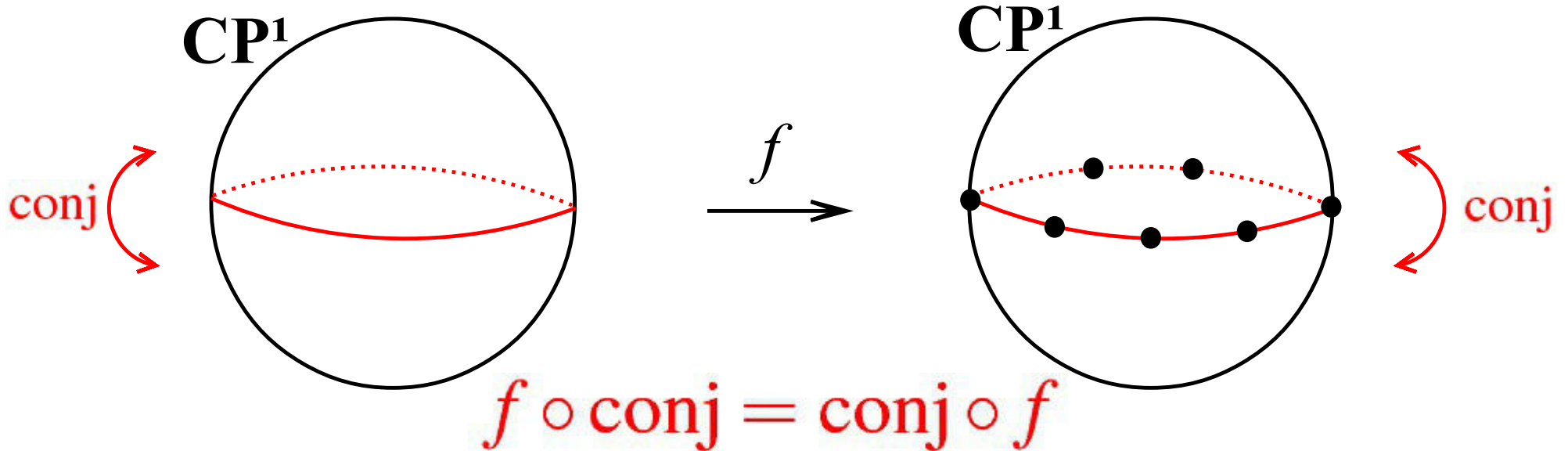
# Spezialfall: **Reelle** Polynome

$$g = 0 \quad \text{und} \quad \lambda_\infty = (d) \quad \text{bzw.} \quad f^{-1}(\infty) = \{\infty\}$$



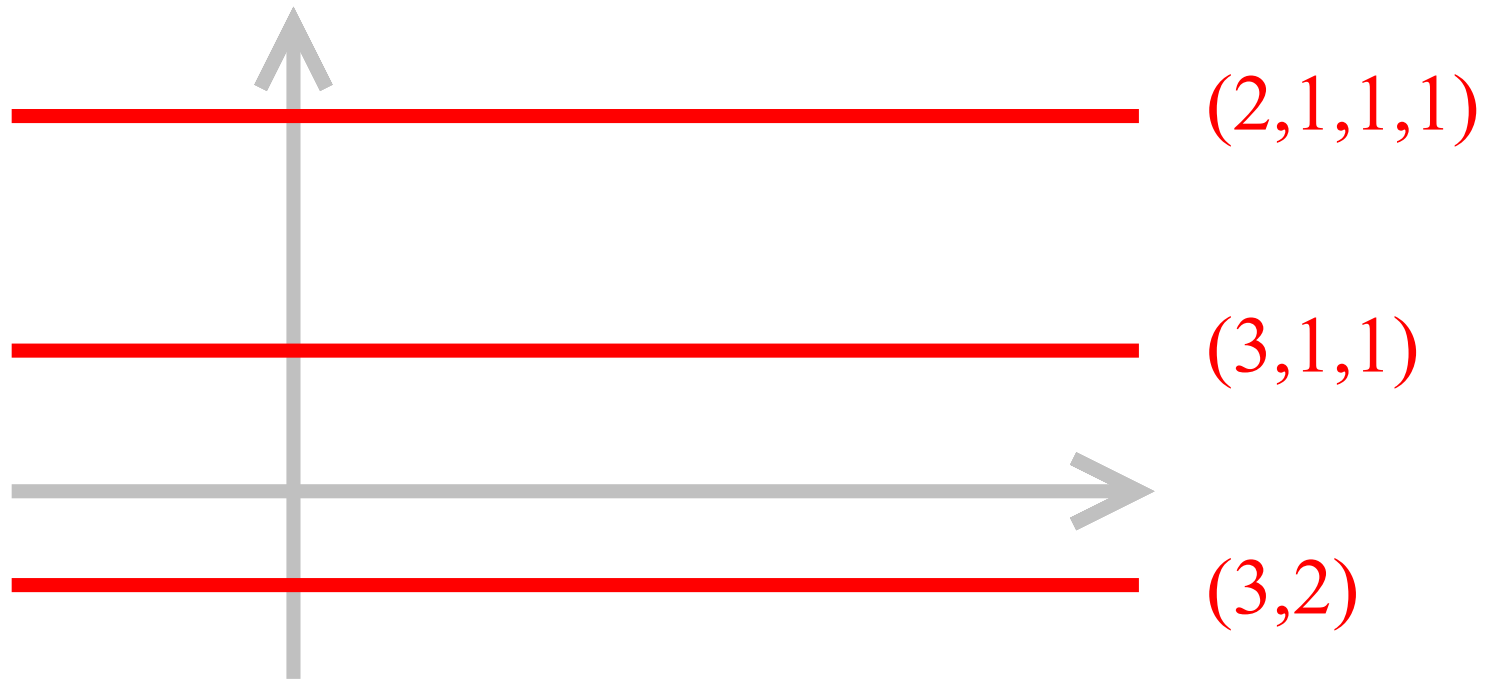
# Spezialfall: **Reelle** Polynome

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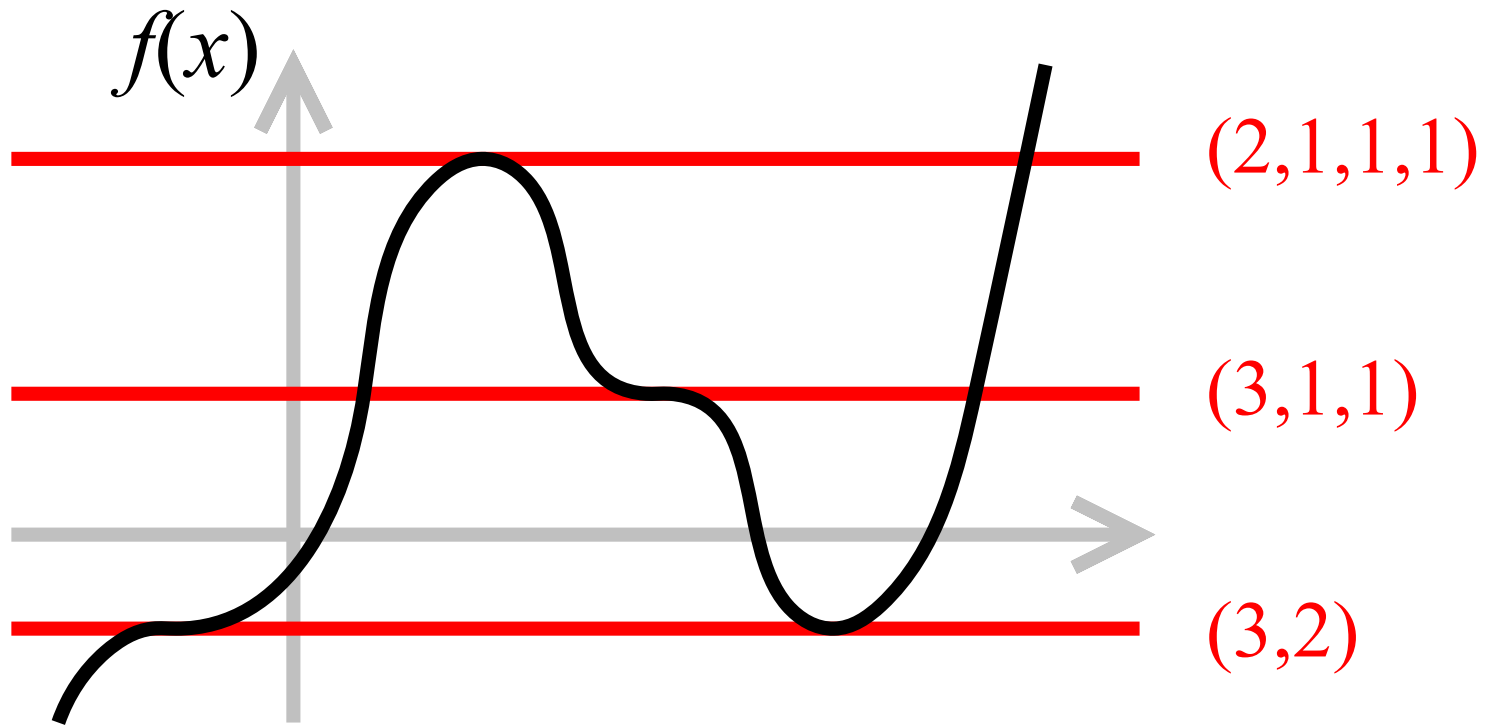
$$\Rightarrow f \in \mathbf{R}[x]$$

# Polynome zählen

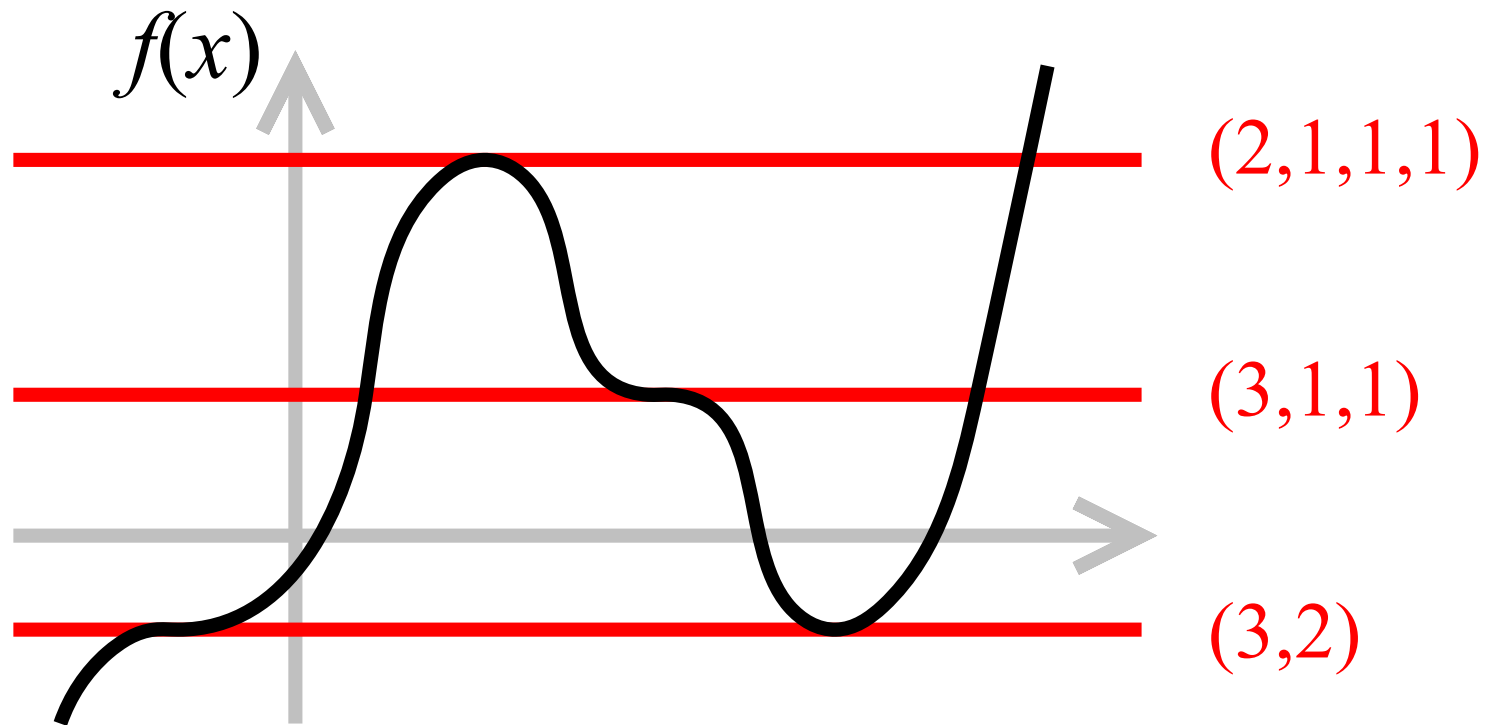




# Polynome zählen



# Polynome zählen



## Frage

Wie viele solche  $f(x)$  in  $\mathbf{R}[x]$  gibt es?

Modulo Koordinatenwechsel  $x \mapsto ax + b$

# Beispiel

Nur Verzweigungsprofil  $s = (2, 1, \dots, 1)$   
„Einfacher Verzweigungspunkt“

\_\_\_\_\_  $s = (2, 1, \dots, 1)$

\_\_\_\_\_  $s$

\_\_\_\_\_  $s$

\_\_\_\_\_  $s$

Hier außerdem:  $\lim_{x \rightarrow -\infty} f(x) = -\infty$

# Beispiel

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\_\_\_\_\_  $s = (2, 1, \dots, 1)$

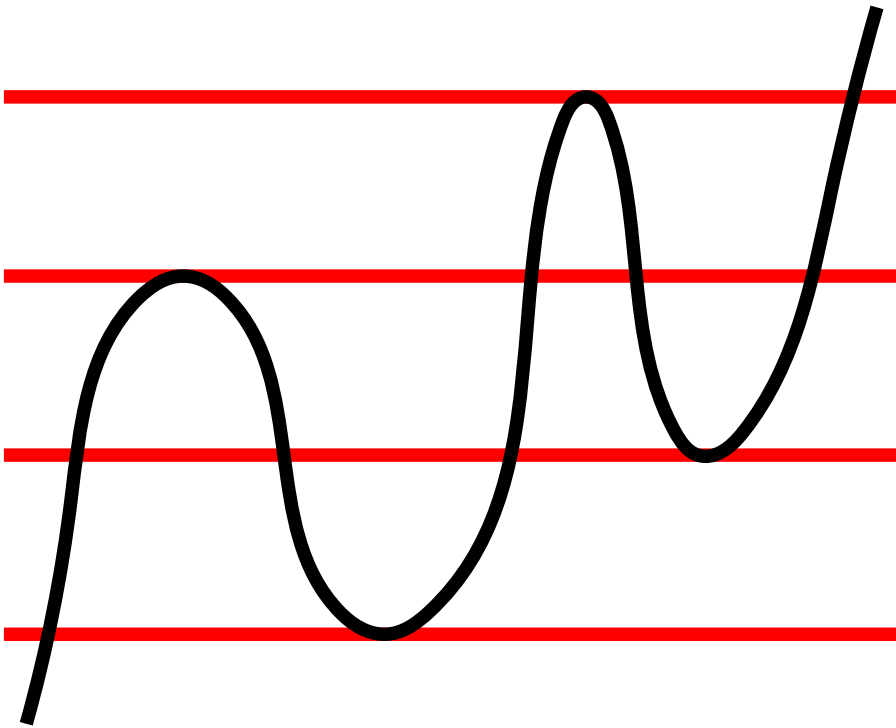
\_\_\_\_\_  $s$

\_\_\_\_\_  $s$

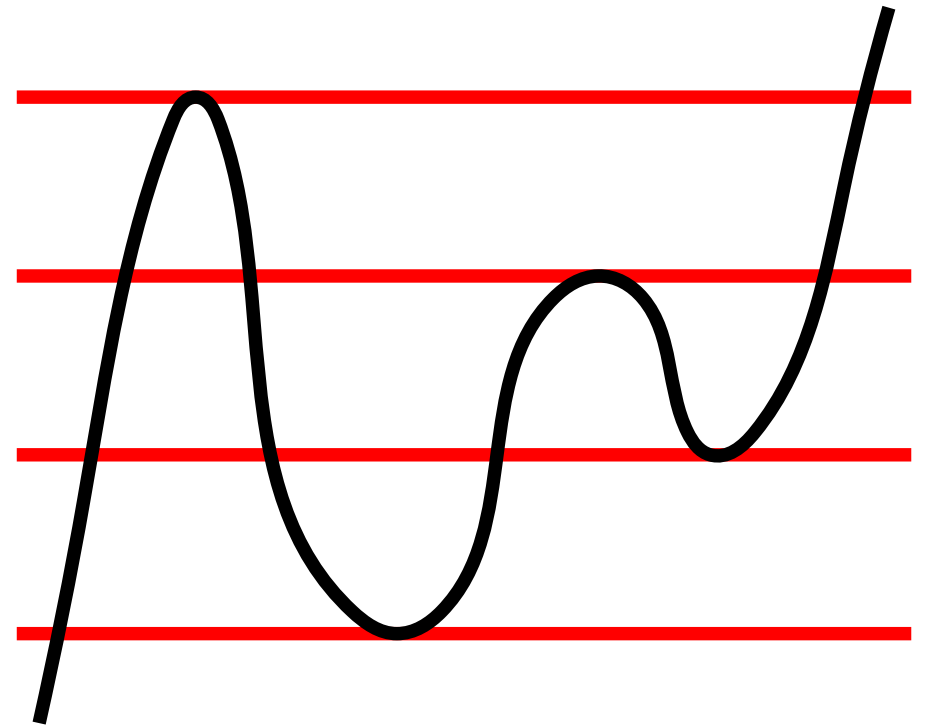
\_\_\_\_\_  $s$

Hier außerdem:  $\lim_{x \rightarrow -\infty} f(x) = -\infty$

# Beispiel

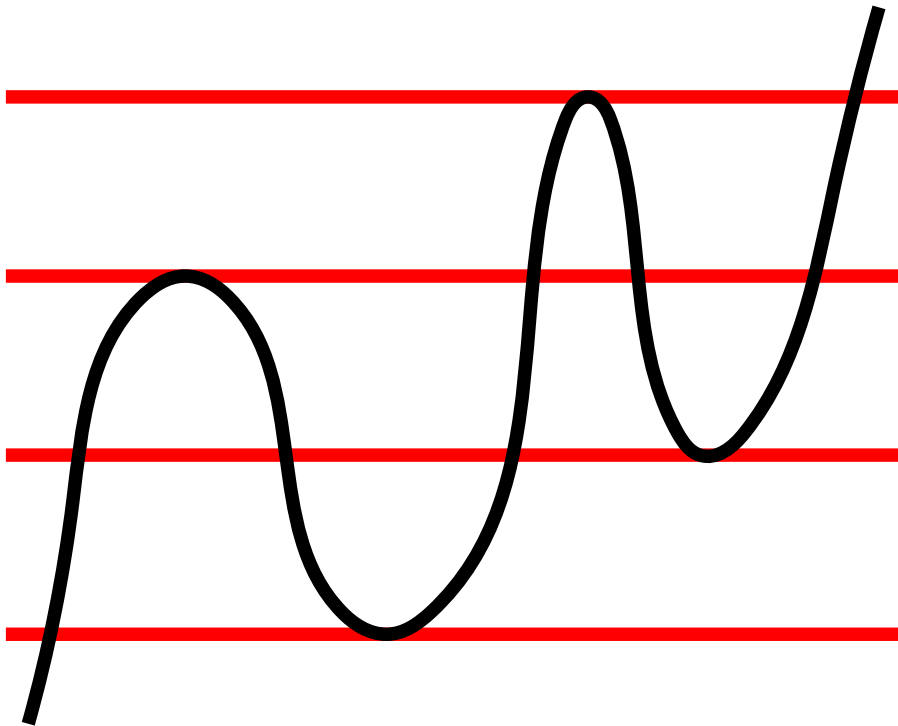


[3,1,4,2]



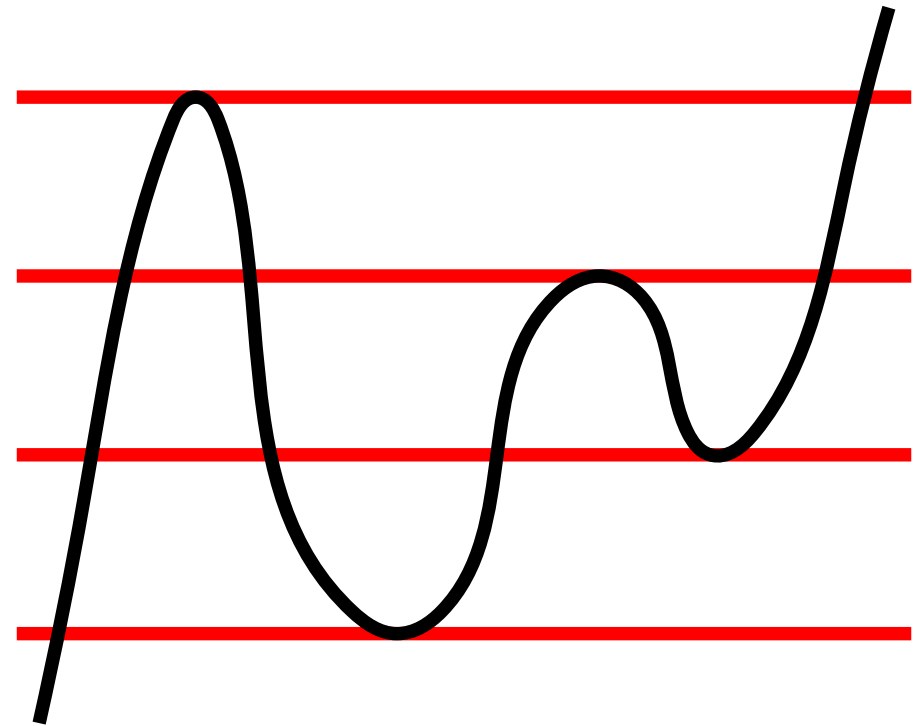
[4,1,3,2]

# Beispiel



[3,1,4,2]

Alternierende Permutationen  
Zick-Zack-Permutationen

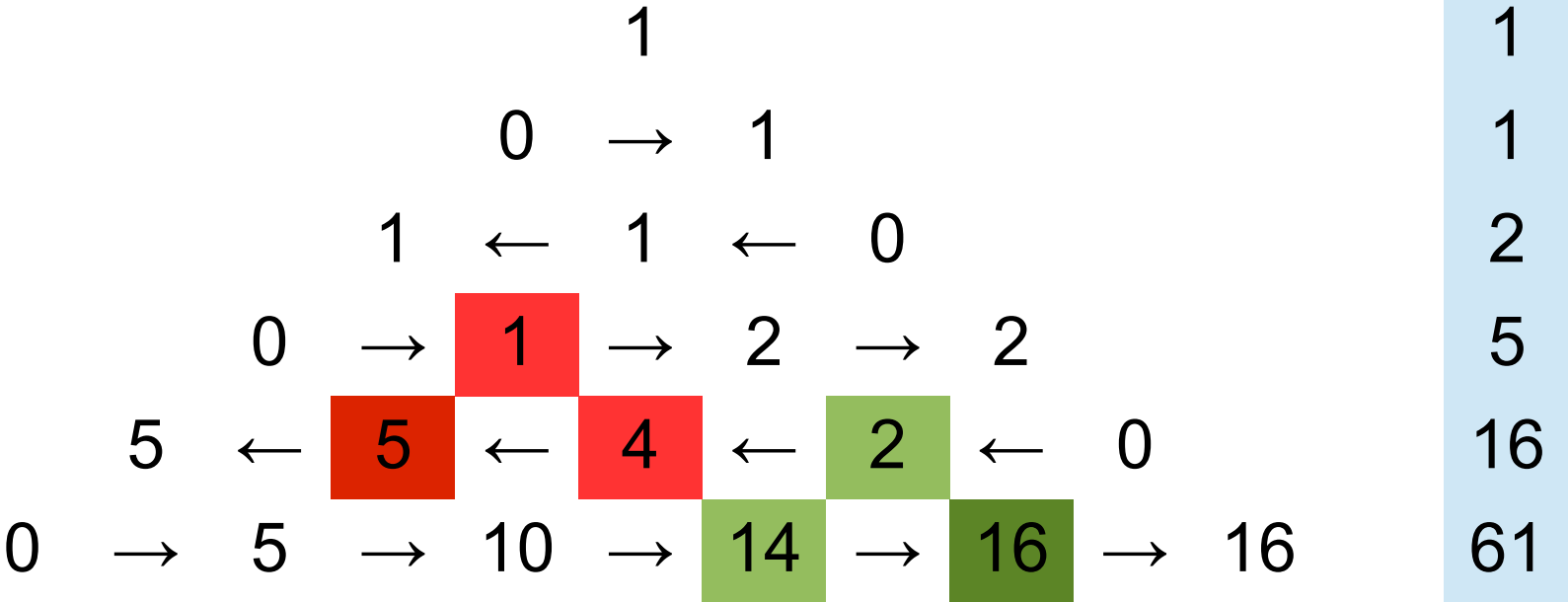


[4,1,3,2]

$[a(1), \dots, a(n)]$  mit  
 $a(1) > a(2) < a(3) > a(4) \dots$

# Euler-Bernoulli-Zahlen

zählen alternierende Permutationen einer bestimmten Länge



# Satz (Itenberg, Zvonkine, 2016)

Jedem (orientierten) Polynom  $f$  lässt sich ein Vorzeichen  $s(f) \in \{\pm 1\}$  zuordnen, so dass die Summe

$$\sum_{f \in \mathbf{R}[x]} s(f)$$

unabhängig von der Anordnung der kritischen Werte  $p, q, \dots$  ist.

Asymptotik  $\approx$  Euler-Bernoulli-Zahlen

Methode: Dessins d'enfant



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Asymptotik  $\approx$  Euler-Bernoulli-Zahlen

## Satz (Hilany-R., 2017)

Erweiterung auf rationale Funktionen mit einfacher Polstelle.

## Satz (Rau, 2018)

- We can define an „tropical“ invariant count for double Hurwitz numbers which provides a **lower bound** for real Hurwitz numbers.
- We can explicitly describe in which cases this bound is non-zero (**existence of real covers**).
- If non-zero, the **logarithmic growth** of this lower bound is equivalent to that of the complex Hurwitz number.