# Schrödinger operators with singular interactions on a non-discrete set

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- Singular interactions
- Definitions





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Part 2: Operators with  $\delta$  interactions

Part 3: Future work and other directions

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2) Part 2: Operators with  $\delta$  interactions

Part 3: Future work and other directions

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#### The Schrödinger equation

The possible states that the electron can occupy are determined by the Schrödinger equation

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We study the differential expression

$$\mathcal{H}:=-\frac{d^2}{dx^2}+V(x),\quad \text{on }(a,b).$$

• 
$$V \in L^1_{loc}(a, b)$$
 and real

- V is a distribution  $(\Xi)$
- V is complex 🙁

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Figure: Potential

•  $b \to 0$  and  $V_0 \to \infty$ .

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"The energy values which an electron moving through the lattice may have, hence form a spectrum consisting of continuous pieces separated by finite intervals." Kronig-Penney 1930.

#### Schrödinger equation with $\delta$ interactions

• 
$$X = (x_n), \alpha = (\alpha_n) \subset \mathbb{R}$$
 with  $(x_n)$  increasing.

# The energy states are determined by the equation

$$-\frac{d^2f}{dx^2} + \sum_{n=1}^{\infty} \alpha_n \delta(x - x_n) f = Ef.$$

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We want to study the differential expression

$$\mathcal{U}f := -\frac{d^2f}{dx^2} + q(x)f + \sum_{n=1}^{\infty} \alpha_n \delta(x - x_n)f.$$
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#### Is it possible to find a self-adjoint operator for this differential expression?

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Part 2: Operators with  $\delta$  interactions

Part 3: Future work and other directions

# Definition (Symmetric operator)

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 $\langle Ax, y \rangle - \langle x, Ay \rangle = 0.$ 

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Consider the Sturm-Liouville problem

$$-y''(x) + V(x)y(x) = \lambda y(x), \quad x \in [a, b).$$

Let  $\{u,v\}$  be a fundamental system with boundary conditions

$$u(a, \lambda) = 0, \quad v(a, \lambda) = 1,$$
  
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If  $v \notin L^2(a, b)$ , then the Weyl-Titchmarsh function for the Sturm-Liouville problem is the complex function  $m(\cdot)$  such that

$$w = v + \mathbf{m}(\lambda)u \in L^2(a, b).$$

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- The Weyl-Titchmarsh function is also called the Dirichlet to Neumann map.
- The eigenvalues of the problem with boundary condition y(a) = 0 are precisely the poles of the Weyl function.

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$$m(\lambda) = i\sqrt{\lambda}.$$

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# Example 1: Sturm-Liouville on a ray

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. There are no eigenvalues

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# Free particle

• 
$$Af = -f''$$
 with  $D(A) = H^2_{\mathsf{D}}(a, b) := H^2(a, b) \cap \{f(a) = f(b) = 0\}$ .

Aspects of Spectral Theory for Linear Operators

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• Af = -f'' with  $D(A) = H^2_{\mathsf{D}}(a, b) := H^2(0, \infty) \cap \{f(0) = 0\}.$ 

$$\sigma(A)=\sigma_{\mathrm{ess}}(A)=[0,\infty).$$

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#### Harmonic oscillator

•  $Af = -f'' + x^2 f$  with  $D(A) = \{f \in L^2(\mathbb{R}) : f, f' \in \mathsf{AC}_{\mathsf{loc}}(\mathbb{R}), -f'' + x^2 f \in L^2(\mathbb{R})\}.$ 

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$$\sigma(A) = \sigma_{\mathsf{d}}(A) = \left\{k + \frac{1}{2}\right\}_{k \in \mathbb{N} \cup \{0\}}$$

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Part 3: Future work and other directions

• Let f be a function in  $H^2(-\infty, y) \oplus H^2(y, \infty)$ .

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•  $\epsilon \to 0$ 

$$f'(y+) - f'(y-) = \alpha f(y).$$

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• Consider  $X = \{x_n\}_{n \in \mathbb{N}} \subset \mathbb{R}$  and  $\alpha = \{\alpha_n\}_{n \in \mathbb{N}} \subset \mathbb{R}$ .









with

$$D(A_{\alpha}) = \{ f \in H^2(\mathbb{R} \setminus X) \cap H^1(\mathbb{R}) : f'(x_n+) - f'(x_n-) = \alpha_n f(x_n) \}.$$





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Is  $A_{\alpha}$  always self-adjoint? What about  $\sigma(A_{\alpha})$ ?

•  $|X| = m < \infty$ .

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- $|X| = m < \infty$ .
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- $\sigma_{\text{ess}}(A_{\alpha}) = \sigma_{\text{ess}}(A) = [0, \infty).$
- (Albeverio 1988; Goloshapova, Oridoroga 2010)

 $|\sigma(A_{\alpha}) \cap (-\infty, 0)| \le m$  and the negative eigenvalues have at most total multiplicity m.

- $|X| = m < \infty$ .
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• Consider  $|X| = \infty$ ,  $\inf d_n = 0$  but  $x_n \to \infty$ .

$$\xrightarrow{ \begin{array}{c} x_n x_{n+1} & x_{n+3} \\ \hline x_{n+2} & x_{n+4} \end{array} }$$

- $A_{\alpha}$  is always self-adjoint.
- (Albeverio, Kostenko, Malamud, 2012) If  $\lim_{n\to\infty}\sum_{x_k\in[n,n+1]} |\alpha_k| = 0$ ,

$$\sigma_{\mathsf{ess}}(A_{\alpha}) = \sigma_{\mathsf{ess}}(A).$$

• If  $\lim_{n\to\infty} \alpha_n d_n^{-1} = \infty$ , then

$$\sigma(A_{\alpha}) = \sigma_{\mathsf{d}}(A_{\alpha}).$$

• If  $x_n \to c \in \mathbb{R}$ 

Aspects of Spectral Theory for Linear Operators

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• (Eckhardt, Teschl 2014) If  $\alpha \in l^1(\mathbb{R})$ ,

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Can embedded eigenvalues appear in the essential spectrum of A<sub>α</sub>?
In (Eckhart and Teschl, 2013) they introduce measure valued Sturm-Liouville problems.

#### Quasi-derivative

Let  $\mu$  be a locally finite Borel complex measure. A  $\mu$ -measurable function f is called absolutely continuous with respect to  $\mu$  if there exists a measurable function h such that

$$f(x) = f(c) + \int_{c}^{x} \mathbf{h} \, d\mu$$
, for all  $x, c \in \mathbb{R}$ .

We say that h is the quasi-derivative of f and we denote it by  $\frac{df}{du}$ .

#### Linear measure differential equations

Let  $\mu$ ,  $\chi$  be complex Borel measures. Consider the differential expression on  $\mathbb R$ 

$$\tau f := -\frac{d}{dx} \left( \frac{df}{d\mu} + \int_c^x f \, d\chi \right). \tag{7}$$

Here  $\frac{d}{dx}$  represents the derivative with respect to the Lebesgue measure.

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#### Singular interactions as measures

## $\delta$ -interactions

• If 
$$\mu = \lambda$$
, and  $\chi = \sum_{n=1}^{\infty} \alpha_n \delta_{x_n}$ , then ( $\tau$ ) coincides with ( $\mathcal{U}$ ).

• Conditions: 
$$f^{[1]}(x_n+) - f^{[1]}(x_n-) = \alpha_n f(x_n)$$
.

 $\infty$ 

## Theorem (Eckhart, Teschl 2013)

 There exists a Weyl function as in the classical case and the poles of m(·) are the eigenvalues of A<sub>α</sub>.

#### **Fundamental system**

## Proposition (Leguizamón, Winklmeier, 2024)

The fundamental system for ( $\tau$ ) is  $\{u(\cdot, \lambda), v(\cdot, \lambda)\}$ , given by

$$u(x,\lambda) = \frac{-1}{\sqrt{\lambda}} \sum_{n \in \mathbb{N}} \alpha_n u_n H(x-x_n) \left( \sin(\sqrt{\lambda}(x-x_n)) \right) + \frac{\sin(\sqrt{\lambda}x)}{\sqrt{\lambda}},$$
$$v(x,\lambda) = \frac{-1}{\sqrt{\lambda}} \sum_{n \in \mathbb{N}} \alpha_n v_n H(x-x_n) \left( \sin(\sqrt{\lambda}(x-x_n)) \right) + \cos(\sqrt{\lambda}x),$$

where  $H(\cdot)$  is the Heaviside function and

$$u_n = \frac{\sin(\sqrt{\lambda} x_n)}{\sqrt{\lambda}} - \frac{1}{\sqrt{\lambda}} \sum_{j < n} \alpha_j u_j \sin(\sqrt{\lambda} (x_n - x_j)),$$
$$v_n = \cos(\sqrt{\lambda} x_n) - \frac{1}{\sqrt{\lambda}} \sum_{j < n} \alpha_j v_j \sin(\sqrt{\lambda} (x_n - x_j)).$$

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# Proposition (Leguizamón, Winklmeier, 2024)

The Weyl function of  $A_{\alpha}$  is

$$m(\lambda) = -\left(\frac{\frac{1}{i\sqrt{\lambda}}\sum_{n\in\mathbb{N}}\alpha_n v_n e^{i\sqrt{\lambda}x_n} + 1}{\frac{1}{i\sqrt{\lambda}}\sum_{n\in\mathbb{N}}\alpha_n u_n e^{i\sqrt{\lambda}x_n} - \frac{1}{i\sqrt{\lambda}}}\right).$$

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- For |X| = 2 there are no embedded eigenvalues.

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- For |X| = 2 there are no embedded eigenvalues.
- |X| = n > 2  $(\stackrel{\smile}{:})$
- We have analogous results for  $\delta'$  interactions.

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#### **Table of Contents**



- Singular interactions
- Definitions

Part 2: Operators with  $\delta$  interactions



#### About the real case (in progress)

- What happens with the embedded eigenvalues when |X| > 2?
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#### About the real case (in progress)

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- What happens to the absolutely continuous and singular continuous spectrum?
- What happens if  $\alpha \notin l^1(\mathbb{N})$ ?. We loose self-adjointness of  $A_{\alpha}$ .

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# ¡Gracias!

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