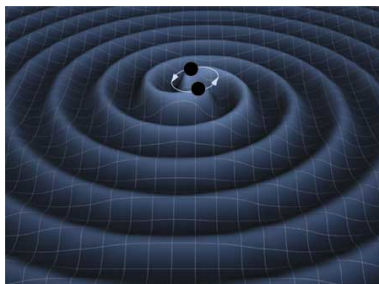


# Black Holes and Wave Mechanics (V)

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Matematicos de la Relatividad General 08



# Course Content

## 1. Introduction

- General Relativity basics
- Schwarzschild's solution
- Classical mechanics

## 2. Scalar field + Schwarzschild Black Hole

- Klein-Gordon equation
- Wave-packet scattering
- Quasi-normal modes

## 3. Hawking Radiation

- Key results
- QFT on curved spacetime
- Black hole collapse model

## 4. Scattering theory

- Perturbation theory
- Partial wave analysis
- Glories and diffraction patterns

## 5. Acoustic Black Holes

- Navier-Stokes eqn  $\rightarrow$  Lorentzian geometry
- Simple models

# Final Lecture

## Why study Black Holes and Wave Mechanics?

- Gravitational wave astronomy
- Stability of black hole solutions
- Hawking radiation
- Higher-dimensional black holes at LHC?

## Further motivations:

- (I) Scattering patterns from black holes
- (II) Quasi-bound states (?)
- (III) Analogue black holes
- (IV) Self-Force and Radiation Reaction

# Quasi-Bound States

- **Toy model:** Primordial black hole  $M \sim 10^{14}\text{kg}$  + neutrino  $\nu$
- Neutrino has small **mass**  $\mu \lesssim 0.01\text{eV}$
- Dimensionless mass coupling:

$$\alpha_G = \frac{GM\mu}{\hbar c} = \pi \frac{r_S}{\lambda_C}$$

- Effective potential  $V_l$  has **local minimum**, near the radius of circular orbit.
- Define **resonances** called Quasi-Bound States (QBSs).

# Quasi-Bound States

- QBS satisfy a pair of boundary conditions,

$$u_l \sim \begin{cases} e^{-i\omega r_*}, & r_* \rightarrow -\infty \\ Ae^{-qr_*}, & r_* \rightarrow +\infty \end{cases}$$

where  $q = \sqrt{\mu^2 - \omega^2}$ ,  $-\text{Re}(q) < 0$

- **Ingoing** at the horizon
- **Exponentially decaying** at infinity
- In horizon-penetrating coordinates (AEF; PG) the wavefunctions are **normalisable**.

## QBS of Klein-Gordon Equation

- **Claim:** In the limit  $\alpha_G \ll l$ , the QBS spectrum is hydrogenic
- In **Painlevé-Gullstrand coordinates**, the Klein-Gordon equation reads [exercise!] :

$$\left( \partial_t - \sqrt{\frac{2M}{r}} \partial_r \right)^2 \Phi - \frac{3}{2r} \sqrt{\frac{2M}{r}} \left( \partial_t - \sqrt{\frac{2M}{r}} \partial_r \right) \Phi - \nabla^2 \Phi + \mu^2 \Phi = 0$$

- Here  $\nabla^2 = \partial_i \partial_i$  is 3D Laplacian
- Ingoing solutions are **regular** at horizon.
- All solutions go as  $\Phi \sim r^{-3/4}$  at origin  $\Rightarrow r^2 |\Phi|^2 \rightarrow 0$

## Non-relativistic Spectrum

- Split the field  $\Phi$  into two components  $\chi_1$  and  $\chi_2$ ,

$$\chi_1 = \frac{1}{2} \left( \Phi + \frac{i}{\mu} \left( \partial_t - \sqrt{\frac{2M}{r}} \partial_r \right) \Phi \right), \quad (1)$$

$$\chi_2 = \frac{1}{2} \left( \Phi - \frac{i}{\mu} \left( \partial_t - \sqrt{\frac{2M}{r}} \partial_r \right) \Phi \right), \quad (2)$$

so

$$\chi_1 + \chi_2 = \Phi \quad \text{and} \quad \chi_1 - \chi_2 = \frac{i}{\mu} \left( \partial_t - \sqrt{\frac{2M}{r}} \partial_r \right) \Phi.$$

- Pair of coupled equations,

$$(i\partial_t - \mu) \chi_1 = -\frac{\nabla^2}{2\mu} (\chi_1 + \chi_2) + i\sqrt{\frac{2M}{r}} \partial_r \chi_1 + \frac{3i}{4r} \sqrt{\frac{2M}{r}} (\chi_1 - \chi_2)$$

$$(i\partial_t + \mu) \chi_2 = +\frac{\nabla^2}{2\mu} (\chi_1 + \chi_2) + i\sqrt{\frac{2M}{r}} \partial_r \chi_2 + \frac{3i}{4r} \sqrt{\frac{2M}{r}} (\chi_2 - \chi_1)$$

## Non-relativistic Spectrum

- Non-relativistic spectrum: **assume**  $\hbar\omega \sim \mu c^2$  and define  $E_{NR} = \hbar\omega - \mu c^2$ . Then

$$E_{NR}\chi_1 = -\frac{1}{2\mu}\nabla^2\chi_1 + i\sqrt{\frac{2M}{r}}\left(\partial_r + \frac{3}{4r}\right)\chi_1$$

- Make substitution  $\chi_1 = \psi \exp(i\mu\sqrt{8Mr})$  to find **Schrödinger equation**

$$E_{NR}\psi = -\frac{1}{2\mu}\nabla^2\psi - \frac{M\mu}{r}\psi.$$

- Energy levels with gravitational fine-structure constant  $\alpha_G$ :

$$\hbar\omega_n \approx \left(1 - \frac{\alpha_G^2}{2n^2}\right)\mu c^2,$$

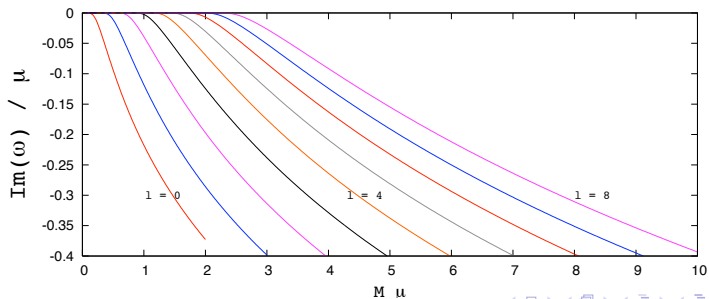
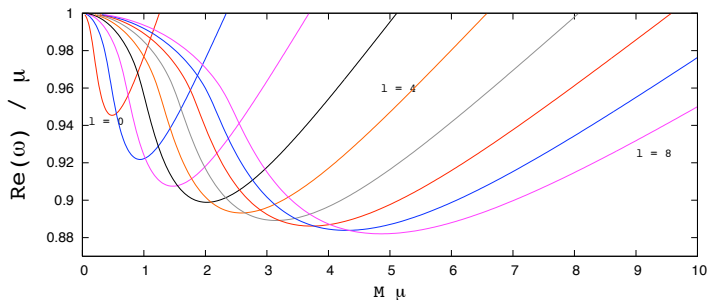
- **Hydrogenic** wavefunctions.



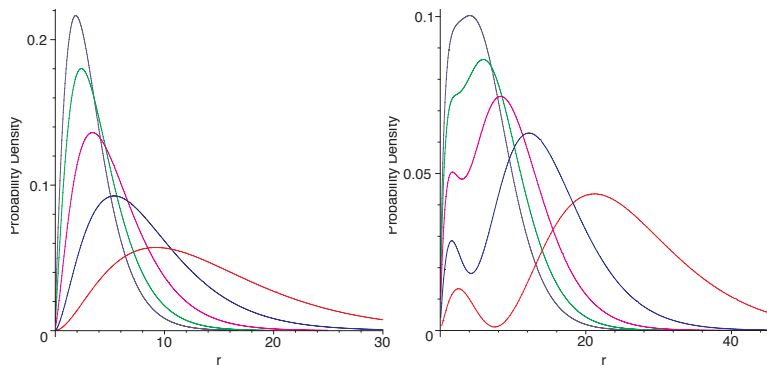
# Relativistic Spectrum

- QBS frequencies are **complex**, because flux is absorbed at the origin
- $\omega = \pm\omega_r - i\omega_i$ 
  - Frequency  $\omega_r$
  - Decay rate  $\omega_i$
- Decay suppressed for  $\alpha_G \ll l$ , but becomes dominant for  $\alpha_G \sim l$ .
- Compute spectrum numerically (continued fraction method; direct integration; etc.)
- **Need:** Existence proofs; bounds; limits; functional analysis etc.

## QBS spectrum



# QBS Wavefunctions



*S-state wavefunctions.* Left: 1S wavefunctions in the range  $0.1 \leq \alpha \leq 0.3$ . Right: 2S wavefunctions in the range  $\alpha = 0.2 - 0.6$ .

# Acoustic Holes

- Under certain assumptions, perturbations to background flow satisfy a ‘curved-space’ Klein-Gordon equation!

$$\square\psi \equiv \frac{1}{\sqrt{-g}}\partial_{\mu}(\sqrt{-g}g^{\mu\nu}\partial_{\nu}\psi) = 0$$

where flow velocity is  $\mathbf{v} = \mathbf{v}_0 - \nabla\psi$

- **Dumb hole**: region of fluid from which no **sound** may escape (Unruh 1981).
- **Horizon**: Surface on which speed of sound in fluid = normal bulk flow velocity.
- **Ergosphere**: region of supersonic flow

## Fluid Flow (I)

- Fluid mechanics:
  - (i) continuity equation

$$\partial_t \rho + \nabla \cdot (\rho \mathbf{v}) = 0,$$

- (ii) Euler's equation

$$\rho \frac{D\mathbf{v}}{Dt} = \rho [\partial_t \mathbf{v} + (\mathbf{v} \cdot \nabla) \mathbf{v}] = \mathbf{f}$$

- Assume **inviscid** and 'potential' forces only:

$$\mathbf{f} = -\nabla P - \rho \nabla \Phi$$

- Vector triple product:

$$\mathbf{v} \times (\nabla \times \mathbf{v}) = \frac{1}{2} \nabla v^2 - (\mathbf{v} \cdot \nabla) \mathbf{v}$$

- Assume **irrotational** :  $\nabla \times \mathbf{v} = 0$ .

## Fluid Flow (II)

- No vorticity  $\Rightarrow$  Potential flow:  $\mathbf{v} = -\nabla\psi$
- Assume **barotropic**: density depends on pressure *only*  
 $\rho = \rho(P)$ .
- Define **enthalpy**  $h$

$$h(P) = \int_0^P \frac{dP'}{\rho(P')}$$

so that  $h = \frac{1}{\rho} \nabla P$

- Euler's equation  $\Rightarrow$  **Bernoulli's equation**:

$$-\partial_t \psi + h + \frac{1}{2} (\nabla \psi)^2 + \Phi = 0.$$

# Perturbations

- Assume background flow + **perturbations**:  $\psi = \psi_0 + \epsilon\psi_1$

$$\Rightarrow P = P_0 + \epsilon P_1 + \mathcal{O}(\epsilon^2), \quad \rho = \rho_0 + \epsilon P_1 + \mathcal{O}(\epsilon^2),$$

- Linearize :

- (i)  $\partial_t \rho_1 + \nabla \cdot (\rho_1 \mathbf{v}_0 - \rho_0 \nabla \psi_1) = 0$
- (ii)  $\rho_1 = \frac{d\rho}{dP} P_1 = \frac{\rho_0}{c^2} (\partial_t \psi_1 + \mathbf{v}_0 \cdot \nabla \psi_1)$

where the **speed of sound** is  $c = \left( \frac{d\rho}{dP} \right)^{-1/2}$

- Combine (i) & (ii) in wave equation:

$$-\partial_t \left( \frac{\rho_0}{c^2} (\partial_t \psi_1 + \mathbf{v}_0 \cdot \nabla \psi_1) \right) + \nabla \cdot \left( \rho_0 \nabla \psi_1 - \frac{\rho_0}{c^2} \mathbf{v}_0 (\partial_t \psi_1 + \mathbf{v}_0 \cdot \nabla \psi_1) \right) = 0.$$

# Lorentzian Geometry

- Rewrite perturbation equation in suggestive form:

$$\partial_\mu (f^{\mu\nu} \partial_\nu \psi_1) = 0$$

where

$$f^{\mu\nu}(t, \mathbf{x}) = \frac{\rho_0}{c^2} \begin{bmatrix} -1 & \vdots & -v_0^j \\ \cdots & \cdot & \cdots \\ -v_0^i & \vdots & (c^2 \delta^{ij} - v_0^i v_0^j) \end{bmatrix}.$$

- Let  $f^{\mu\nu} = \sqrt{-g} g^{\mu\nu}$  where  $\det(f^{\mu\nu}) = \det(g_{\mu\nu}) = -\rho_0^4/c^2$



## Effective Metric

- $\Rightarrow$  Perturbation equation

$$\square\psi \equiv \frac{1}{\sqrt{-g}}\partial_\mu(\sqrt{-g}g^{\mu\nu}\partial_\nu\psi) = 0$$

- where the **acoustic metric** is

$$g_{\mu\nu}(t, \mathbf{x}) = \frac{\rho_0}{c} \begin{bmatrix} -(c^2 - v_0^2) & \vdots & -v_0^j \\ \dots\dots & \cdot & \dots\dots\dots \\ -v_0^i & \vdots & \delta_{ij} \end{bmatrix}.$$

- and the line element is

$$ds^2 = \frac{\rho_0}{c} \left[ -c^2 dt^2 + (dx^i - v_0^i dt)\delta_{ij}(dx^j - v_0^j dt) \right].$$

# Comments

- Flat spacetime  $\eta_{\mu\nu}$ , but perturbations couple to **effective metric**  $g_{\mu\nu}$ .
- Laboratory frame.
- Newtonian time coordinate  $t$
- For fluids,  $c_{\text{sound}} \ll c_{\text{light}}$
- **Horizon**: normal flow speed = speed of sound.
- Quantize perturbations  $\Rightarrow$  phonons  $\Rightarrow$  Hawking radiation
- ...
- but no equivalent “Laws of Acoustic Hole Mechanics”.

## Example I: Canonical Acoustic Hole

- Spherically-symmetric flow in 3D.
- Source (+) or sink (-) at origin  $r = 0$
- Conservation of fluid  $\Rightarrow v_r = \pm cr_h^2/r^2$  where  $r_h$  is a constant
- Acoustic line element

$$ds^2 = -c^2 dt^2 + \left( dr^2 \pm \frac{r_h^2}{r^2} c dt \right)^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2).$$

- **Horizon** at  $r = r_h$
- Like Painlevé-Gullstrand BH metric  $\left( \frac{r_h^2}{r^2} \leftrightarrow \sqrt{\frac{2M}{r}} \right)$

## Example I: Canonical Acoustic Hole

- Change to **diagonal metric** with new (*non-physical*) time coordinate

$$cd\bar{t} = cdt \pm \frac{r_h^2}{r^2} (1 - r_h^4/r^4)^{-1} dr$$

- Schwarzschild-like line element:

$$ds^2 = -c^2 f(r) d\bar{t}^2 + f^{-1}(r) dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

where  $f(r) = 1 - r_h^4/r^4$ .

- Transformation is **singular** at horizon

## Hawking radiation

- Quantized perturbations  $\Rightarrow$  **phonons**  $\Rightarrow$  Hawking radiation
- Hawking temperature:

$$k_B T_H = \frac{\hbar g_h}{2\pi c}$$

- Speed of **sound**  $c$  not light
- Surface gravity

$$g_H = \frac{1}{2} \frac{\partial(c^2 - v_{\perp}^2)}{\partial n}$$

- Temperature of canonical acoustic hole:

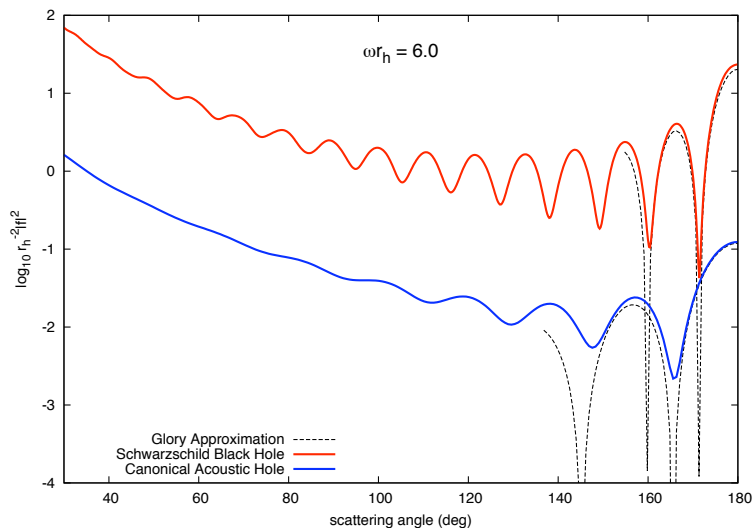
$$T_H \approx 1.2 \times 10^{-9} \text{ K m } (c/1000\text{ms}^{-1}) \left( c^{-1} \frac{dv_{\perp}}{dn} \right)$$

- **Cold!**

# Analogue Models

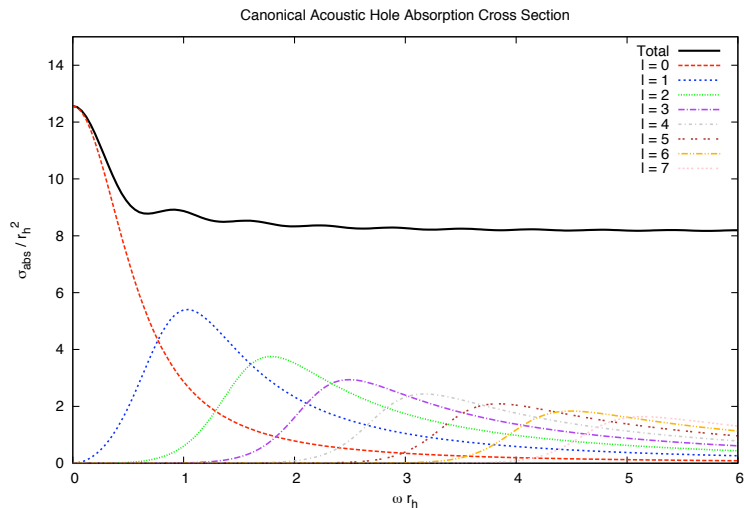
- A range of other black hole **analogues** have been proposed
  - Bose-Einstein condensates
  - Superfluid Helium
  - Electromagnetic waveguides
  - Optical fibres
- Hawking radiation **not yet measured**.
- e.g. Bose-Einstein condensate:
  - $T_H \sim 10\text{nK}$
  - $T_{background} \sim 100\text{nK}$
- Classical wave phenomena should be easier to observe.

# Wave Scattering by an Acoustic Hole



[Dolan, Crispino and Oliveira 2008]

# Wave Absorption by an Acoustic Hole



[Crispino, Oliveira and Matsas 2008]



## Example II: Draining Bathtub Model

- Simple circulating fluid flow:

$$\mathbf{v}_0 = \frac{A\hat{r} + B\hat{\theta}}{r}$$

- Constants of flow  $A, B$
- Irrotational ( $\nabla \times \mathbf{v} = 0$ ) **except** at the vortex core
- Potential is discontinuous on passing through  $2\pi$

$$\psi_0(r, \theta) = A \ln(r/a) + B\theta$$

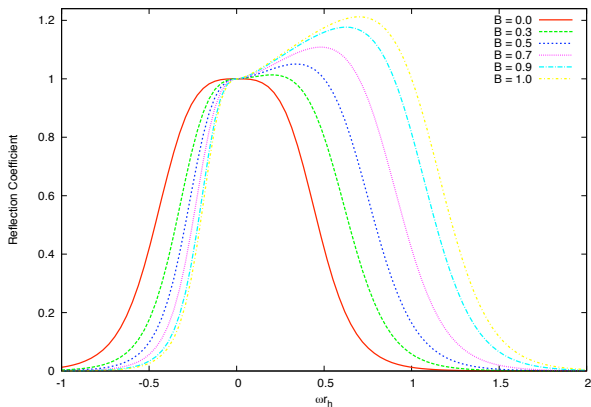
- Line element in cylindrical polars  $r, \theta, z$ :

$$ds^2 = -c^2 dt^2 + \left( dr - \frac{A}{r} dt \right)^2 + \left( r d\theta - \frac{B}{r} dt \right)^2$$

## Example II: Draining Bathtub Model

- Horizon  $r_h = |A|/c$
- Ergosphere  $r_{erg} = \sqrt{A^2 + B^2}/c$
- Inside ergosphere, all perturbations are **co-rotating** with circulating fluid.
- Ergosphere  $\Rightarrow$  **Superradiance**: stimulated emission of radiation.
- Perturbation + Superradiance: outgoing flux can exceed ingoing flux
- Rotational energy extracted from the background flow.
- Superradiance also occurs for a rotating (Kerr) black hole
- Superradiance + bound states  $\Rightarrow$  **Stability?**

# Superradiance



Superradiance in the first co-rotating mode  $n = 1$  as a function of perturbation frequency  $\omega r_h$  and rotation rate  $B = 0 \dots 1.0$

# Conclusion

Black holes + wave mechanics = a range of topics for study

- GR + Geodesics : **Gravitational Lensing**
- GR + field (classical) : **Gravitational Wave Astronomy**
  - Black hole mergers
  - QNM signatures
  - Diffraction scattering patterns
- GR + field (quantum) :
  - Particle creation
  - **Hawking radiation**
  - Higher-dimensional black holes at LHC?
  - Quasi-Bound States?
- Non-Commutative GR : all of the above and more!
- Acoustic holes: black hole analogues in the lab?

Questions, comments, corrections: [sam.dolan@ucd.ie](mailto:sam.dolan@ucd.ie)