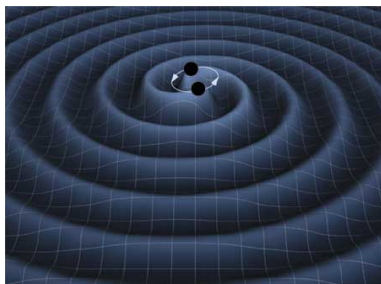


Black Holes and Wave Mechanics (IV)

Dr. Sam R. Dolan

University College Dublin
Ireland

Matematicos de la Relatividad General 08



Course Content

1. Introduction

- General Relativity basics
- Schwarzschild's solution
- Classical mechanics

2. Scalar field + Schwarzschild Black Hole

- Klein-Gordon equation
- Wave-packet scattering
- Quasi-normal modes

3. Hawking Radiation

- Key results
- QFT on curved spacetime
- Black hole collapse model

4. Scattering theory

- Perturbation theory
- Partial wave analysis
- Glories and diffraction patterns

5. Acoustic Black Holes

- Navier-Stokes eqn \rightarrow Lorentzian geometry
- Simple models

Course Content

1. Introduction

- General Relativity basics
- Schwarzschild's solution
- Classical mechanics

2. Scalar field + Schwarzschild Black Hole

- Klein-Gordon equation
- Wave-packet scattering
- Quasi-normal modes

3. Hawking Radiation

- Key results
- QFT on curved spacetime
- Black hole collapse model

4. Scattering theory

- Perturbation theory
- Partial wave analysis
- Glories and diffraction patterns

5. Acoustic Black Holes

- Navier-Stokes eqn \rightarrow Lorentzian geometry
- Simple models

Recap

- Scalar wave:

$$\Phi = \sum_{lm} \int d\omega \frac{u_l(r)}{r} Y_{lm}(\theta, \phi) e^{-i\omega t}$$

- Ordinary differential equation:

$$\frac{d^2 u_l}{dr_*^2} + [\omega^2 - V_l(r)] u_l = 0,$$

- Effective potential

$$V_l(r) = \left(1 - \frac{2M}{r}\right) \left(\frac{l(l+1)}{r^2} + \frac{2M}{r^3} + m^2\right).$$

Current

- Near the horizon, two independent solutions:

$$u_l \sim e^{\pm i\omega r_*} \quad \text{as} \quad r \rightarrow 2M$$

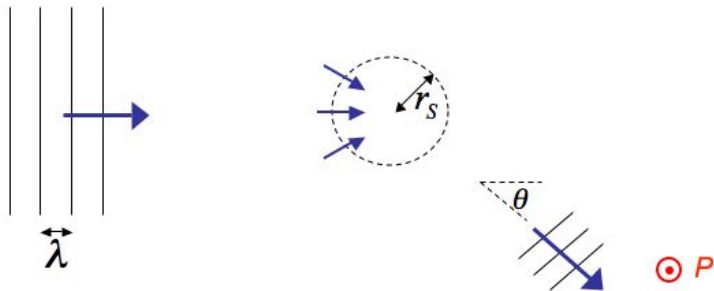
- Infalling observer $\dot{x}^\mu = [(1 - 2M/r)^{-1}, -\sqrt{2M/r}, 0, 0]$ measures a time-like component

$$\dot{x}^\mu J_\mu \sim \omega \left(1 \mp \sqrt{\frac{2M}{r}} \right)^{-1} \quad \text{as} \quad r \rightarrow 2M$$

- At $r = 2M$, this is regular for ingoing $e^{-i\omega r_*}$ solution ...
- ... but **divergent** for outgoing solution $e^{i\omega r_*}$.
- \Rightarrow Ingoing boundary condition at $r = 2M$.
- Horizon acts like a **one-way membrane**.

Time-Independent Scattering

Let a long-lasting monochromatic **plane wave** impinge upon an isolated black hole:



Time-Independent Plane Wave Scattering

Dimensionless Parameter:

- **Coupling strength** : $GM\omega/c^3 \sim M\omega \sim \pi r_s/\lambda$

Physical Observables:

- σ_a : **absorption** cross section.
- $\frac{d\sigma}{d\Omega}$: differential **scattering** cross section.
- $0 < P < 1$: partial **polarisation**.

Weak-Field Approximations: $\lambda \gg r_s$

In the long-wavelength limit (low coupling $M\omega \ll 1$), can use **perturbation theory** to show:

scattering cross section: $\frac{1}{M^2} \frac{d\sigma}{d\Omega}$

scalar

$$\frac{1}{\sin^4(\theta/2)}$$

neutrino

$$\frac{\cos^2(\theta/2)}{\sin^4(\theta/2)}$$

photon

$$\frac{\cos^4(\theta/2)}{\sin^4(\theta/2)}$$

gravitational

$$\frac{\cos^8(\theta/2) + \sin^8(\theta/2)}{\sin^4(\theta/2)}$$

: extra term!

General rule:

$$\lim_{\lambda \rightarrow \infty} \left(\frac{1}{M^2} \frac{d\sigma}{d\Omega} \right) \sim \frac{\cos^{4s}(\theta/2)}{\sin^4(\theta/2)} + \delta_{s,2} \sin^4(\theta/2)$$

Weak-Field Approximations: $\lambda \gg r_s$

In the long-wavelength limit (low coupling $M\omega \ll 1$), can use **perturbation theory** to show:

scattering cross section: $\frac{1}{M^2} \frac{d\sigma}{d\Omega}$

scalar

$$\frac{1}{\sin^4(\theta/2)}$$

neutrino

$$\frac{\cos^2(\theta/2)}{\sin^4(\theta/2)}$$

photon

$$\frac{\cos^4(\theta/2)}{\sin^4(\theta/2)}$$

gravitational

$$\frac{\cos^8(\theta/2) + \sin^8(\theta/2)}{\sin^4(\theta/2)}$$

: extra term!

General rule:

$$\lim_{\lambda \rightarrow \infty} \left(\frac{1}{M^2} \frac{d\sigma}{d\Omega} \right) \sim \frac{\cos^{4s}(\theta/2)}{\sin^4(\theta/2)} + \delta_{s,2} \sin^4(\theta/2)$$



Weak-Field Approximations: $\lambda \gg r_s$

In the long-wavelength limit (low coupling $M\omega \ll 1$), can use **perturbation theory** to show:

scattering cross section: $\frac{1}{M^2} \frac{d\sigma}{d\Omega}$

scalar

$$\frac{1}{\sin^4(\theta/2)}$$

neutrino

$$\frac{\cos^2(\theta/2)}{\sin^4(\theta/2)}$$

photon

$$\frac{\cos^4(\theta/2)}{\sin^4(\theta/2)}$$

gravitational

$$\frac{\cos^8(\theta/2) + \sin^8(\theta/2)}{\sin^4(\theta/2)}$$

: extra term!

General rule:

$$\lim_{\lambda \rightarrow \infty} \left(\frac{1}{M^2} \frac{d\sigma}{d\Omega} \right) \sim \frac{\cos^{4s}(\theta/2)}{\sin^4(\theta/2)} + \delta_{s,2} \sin^4(\theta/2)$$

Perturbation Theory

- In limit $M\omega \ll 1$, may treat scattering perturbatively.
- Treat BH as interaction potential on a *flat* background.
- Expand in Born series :

$$\frac{d\sigma}{d\Omega} = \left(\frac{GM}{c^2} \right)^2 \left[a_0(v, \theta) + (M\omega) a_1(v, \theta) + (M\omega)^2 a_2(v, \theta) + \dots \right],$$

where a_i are dimensionless functions.

- Many coordinate systems ...
- ... but need **gauge-invariant** result.

Perturbation Method for Scalar Field (I)

- Assume KG equation can be written:

$$\partial^\mu \partial_\mu \Phi + m^2 \Phi + \hat{B}\Phi = 0$$

- where **interaction term**

$$\hat{B}\Phi = (-g)^{-1/2} \partial_\mu \left[(-g)^{1/2} (g^{\mu\nu} - \eta^{\mu\nu}) \partial_\nu \Phi \right]$$

is in some sense **small** (**warning**: not true at origin!)

- Assume time-dependence $e^{-i\omega t}$, so that \hat{B} is a function of r only.

Perturbation Method for Scalar Field (II)

- The **propagator** (Green's function) Δ_G is defined by

$$\left[(\partial_\mu \partial^\mu)_{x_2} + m^2 + \hat{B}(x_2) \right] \Delta_G(x_2, x_1) = \delta^4(x_2 - x_1).$$

and appropriate boundary conditions

- The propagator may be expanded in a perturbation series,

$$\Delta_G(x_f, x_i) = \Delta_F(x_f, x_i) - \int d^4x_1 \Delta_F(x_f, x_1) \hat{B}(x_1) \Delta_F(x_1, x_i) + \\ \int \int d^4x_1 d^4x_2 \Delta_F(x_f, x_1) \hat{B}(x_1) \Delta_F(x_1, x_2) \hat{B}(x_2) \Delta_F(x_2, x_i) + \dots$$

where Δ_F is the flat-space **Feynman propagator**.

Perturbation Method for Scalar Field (III)

- The Feynman propagator is simplest in momentum space:

$$\Delta_F(x_2, x_1) = \int \frac{d^4k}{(2\pi)^4} \Delta_F(k) e^{-ik \cdot (x_2 - x_1)}, \quad \Delta_F(k) = \frac{1}{k^2 - m^2}.$$

- To find $\Delta_F(x_2, x_1)$, construct contour with **correct causal behaviour**. Easiest to do calculation in momentum space.
- Scattering amplitude:**

$$\mathcal{M} = B(\mathbf{p}_f, \mathbf{p}_i) + \int \frac{d^3k}{(2\pi)^3} B(\mathbf{p}_f, \mathbf{k}) \frac{1}{k^2 - m^2} B(\mathbf{k}, \mathbf{p}_i) + \dots$$

- where $B(\mathbf{p}_f, \mathbf{p}_i)$ is the Fourier transform of the interaction term,

$$B(\mathbf{p}_2, \mathbf{p}_1) = \int d^3x e^{ip_2 \cdot x} \hat{B}(x) e^{-ip_1 \cdot x}.$$

Perturbation Method for Scalar Field (IV)

- Scattering amplitude:

$$\mathcal{M} = B(\mathbf{p}_f, \mathbf{p}_i) + \int \frac{d^3k}{(2\pi)^3} B(\mathbf{p}_f, \mathbf{k}) \frac{1}{k^2 - m^2} B(\mathbf{k}, \mathbf{p}_i) + \dots$$

- Differential scattering cross section:

$$\frac{d\sigma}{d\Omega} = \frac{1}{4} \frac{|\mathcal{M}|^2}{(2\pi)^2}.$$

- Attempt **1st order calculation** in AEF and PG coordinates.

Amplitude in AEF coords (I)

- Difference between $g^{\mu\nu}$ and $\eta^{\mu\nu}$ in tt , tr , rt and rr components:

$$g^{\mu\nu} - \eta^{\mu\nu} = \frac{2M}{r} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}.$$

- Three terms: B_{tt} , B_{tr+rt} , B_{rr}
- Fourier transform of B_{tt} term:

$$B_{tt}(\mathbf{p}_f, \mathbf{p}_i) = -\omega^2 \int d^3x \left(\frac{2M}{r} \right) e^{-i\mathbf{q}\cdot\mathbf{x}} = \frac{-8\pi\omega^2 M}{|\mathbf{q}|^2},$$

where $\mathbf{q} = \mathbf{p}_f - \mathbf{p}_i$.

Amplitude in AEF coords (II)

- The B_{rr} term may be simplified using **integration by parts**,

$$\begin{aligned} B_{rr}(\mathbf{p}_f, \mathbf{p}_i) &= - \int d^3x \frac{\partial}{\partial r} \left(e^{-i\mathbf{p}_f \cdot \mathbf{x}} \right) \left(\frac{2M}{r} \right) \frac{\partial}{\partial r} \left(e^{i\mathbf{p}_i \cdot \mathbf{x}} \right) \\ &= 2M \int (i\mathbf{p}_f \cdot \mathbf{x})(i\mathbf{p}_i \cdot \mathbf{x}) \frac{e^{-i\mathbf{q} \cdot \mathbf{x}}}{r^3}. \end{aligned}$$

- Integral may be found with **sum** and **difference** vectors

$$\mathbf{R} = \frac{1}{2} (\mathbf{p}_f + \mathbf{p}_i), \quad \mathbf{Q} = \frac{1}{2} (\mathbf{p}_f - \mathbf{p}_i).$$

- Hence

$$B_{rr}(\mathbf{p}_f, \mathbf{p}_i) = -2M \int d^3x \left[(\mathbf{R} \cdot \mathbf{x})^2 - (\mathbf{Q} \cdot \mathbf{x})^2 \right] \frac{e^{-i\mathbf{q} \cdot \mathbf{x}}}{r^3}.$$

Amplitude in AEF coords (III)

- Align the z -axis with \mathbf{Q} and the x -axis with \mathbf{R} :

$$\int d^3x \frac{(\mathbf{R} \cdot \mathbf{x})^2 e^{-i\mathbf{q} \cdot \mathbf{x}}}{r^3} = \frac{4\pi |\mathbf{R}|^2}{|\mathbf{q}|^2}$$

and

$$\int d^3x \frac{(\mathbf{Q} \cdot \mathbf{x})^2 e^{-i\mathbf{q} \cdot \mathbf{x}}}{r^3} = -\frac{4\pi |\mathbf{Q}|^2}{|\mathbf{q}|^2}.$$

- results in

$$B_{rr}(\mathbf{p}_f, \mathbf{p}_i) = -\frac{8\pi M |\mathbf{p}|^2}{|\mathbf{q}|^2},$$

- B_{rt+tr} does not contribute at first order [**Exercise!**]

Cross Section in AEF coords

- $B_{tt} + B_{rr} \Rightarrow$

$$\mathcal{M}_1 = \frac{-8\pi M (\omega^2 + |\mathbf{p}|^2)}{|\mathbf{p}_f - \mathbf{p}_i|^2}.$$

- Scattering cross section:

$$\frac{d\sigma}{d\Omega} = \left(\frac{GM}{c^2}\right)^2 \frac{(1 + v^2)^2}{4v^4 \sin^4(\theta/2)}.$$

- Now try same calculation in Painlevé-Gullstrand coordinates ...

Amplitude in PG coords (I)

- Difference between $g^{\mu\nu}$ and $\eta^{\mu\nu}$ in tt , tr , rt and rr components:

$$g^{\mu\nu} - \eta^{\mu\nu} = \begin{pmatrix} 0 & -\sqrt{\frac{2M}{r}} \\ -\sqrt{\frac{2M}{r}} & \frac{2M}{r} \end{pmatrix}.$$

- B_{rr} term is identical to AEF calculation
- B_{rt+tr} scales with square root \sqrt{M}

$$\begin{aligned} [B_{tr} + B_{rt}](\mathbf{p}_2, \mathbf{p}_1) &= 2\sqrt{2M}i\omega \int d^3x e^{-i\mathbf{p}_2 \cdot \mathbf{x}} \frac{1}{r^{1/2}} \left(\frac{\partial}{\partial r} + \frac{3}{4r} \right) e^{i\mathbf{p}_1 \cdot \mathbf{x}} \\ &= 6i\omega\sqrt{M}\pi^{3/2} \frac{\mathbf{p}_2^2 - \mathbf{p}_1^2}{|\mathbf{p}_2 - \mathbf{p}_1|^{7/2}}. \end{aligned} \quad (1)$$

Zero at first order because $\mathbf{p}_f^2 = \mathbf{p}_i^2$.

Amplitude in PG coords (II)

- To find the extra term that scales with M , go to second order:

$$\left(6i\omega\sqrt{M}\pi^{3/2}\right)^2 I, \quad I = \int \frac{d^3k}{(2\pi)^3} \frac{(\mathbf{p}^2 - \mathbf{k}^2)}{|\mathbf{p}_f - \mathbf{k}|^{7/2}} \left(\frac{1}{k^2 - m^2}\right) \frac{(\mathbf{k}^2 - \mathbf{p}^2)}{|\mathbf{k} - \mathbf{p}_i|^{7/2}}.$$

- Integral can be found with in **centre-of-mass frame** using **spheroidal coordinates**

$$I = \frac{2}{9} \frac{1}{(2\pi)^2 \mathbf{Q}^2}. \quad (2)$$

- Hence the contribution from $tr + rt$ terms is just

$$-\frac{8\pi M\omega^2}{|\mathbf{q}|^2}.$$

- The PG amplitude is **identical** to the AEF amplitude at first order

Partial Wave Analysis

- Perturbation theory is only appropriate when coupling is small, $M\omega \ll 1$.
- If the wavelength is similar to the horizon size, **partial wave** approach is best.
- A plane wave can be decomposed into partial wave modes:

$$\Phi_{\text{plane}} = e^{ipz} \sim \frac{1}{2ipr} \sum_{l=0}^{\infty} (2l+1) P_l(\cos \theta) \left[e^{ipr} + (-1)^{l+1} e^{-ipr} \right].$$

- **Idea:** asymptotically, as $r \rightarrow \infty$, solution looks like **plane wave + outgoing scattered wave**.
- Not quite possible because gravitational force is **long-ranged** $1/r$ like Coulomb force
- Solutions $u_l \sim e^{\pm ipr^*}$.

Partial Wave Analysis

- **Distorted** plane wave:

$$\Phi_{\text{plane}}^{\text{dist.}} = \frac{1}{2ipr} \sum_{l=0}^{\infty} (2l+1) P_l(\cos \theta) \left[e^{ipr_*} + (-1)^{l+1} e^{-ipr_*} \right].$$

- $e^{ipr_*} \sim r^{2iMp} e^{ipr}$: extra phase factor which **bends** wavefronts even in far field.
- Construct partial wave solution:

$$\Phi \sim \Phi_{\text{plane}}^{\text{dist.}} + \frac{f(\theta)}{r} e^{ipr_*} = \frac{1}{r} \sum_{l=0}^{\infty} a_l (2l+1) P_l(\cos \theta) \phi_l^{(\text{in})}(r_*).$$

- Find a_l by **matching** to ingoing part of plane wave.

Phase shifts δ_l

- Scattering **amplitude** f is a partial wave series:

$$f(\theta) = \frac{1}{2i\omega} \sum_{l=0}^{\infty} (2l+1) \left(e^{2i\delta_l} - 1 \right) P_l(\cos \theta).$$

where the **phase shifts** $e^{2i\delta_l}$ are defined by

$$e^{2i\delta_l} = (-1)^{l+1} \frac{A_{\text{out}}}{A_{\text{in}}}$$

- The phase shifts encode all information about the scattering.

Scattering and Absorption

- The differential **scattering cross section** is

$$\frac{d\sigma}{d\Omega} = |f(\theta)|^2$$

- The **absorption cross section** is

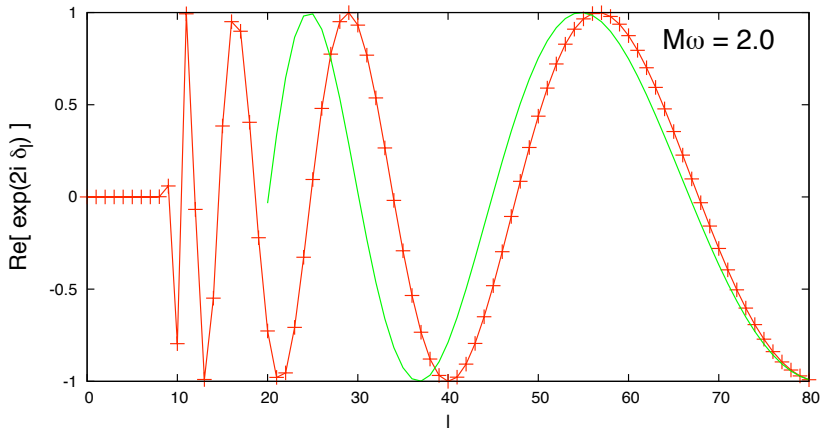
$$\sigma_{\text{abs}} = \frac{\pi}{\omega^2} \sum_{l=0}^{\infty} (2l+1) \mathbb{T}_l$$

where the **transmission factors** \mathbb{T}_l are

$$\mathbb{T}_l = 1 - \left| e^{2i\delta_l} \right|^2$$

Phase shifts

- Phase shifts can be approximated analytically (in regimes $M\omega \ll 1$ or $M\omega \gg 1$) ...
- ... or computed numerically:



Absorption Cross Sections

- $\sigma_a = \sum \frac{\pi}{\omega^2} \sum_{l=0}^{\infty} (2l+1) \mathbb{T}_l :$

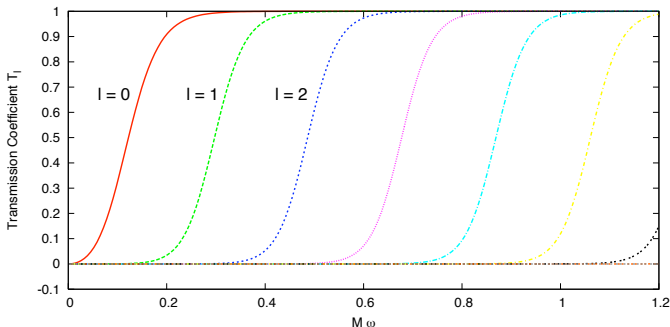


Figure: Modal transmission factors for the massless scalar wave absorbed by a Schwarzschild black hole.

Absorption Cross Sections (II)

- Total cross section from sum over modes:

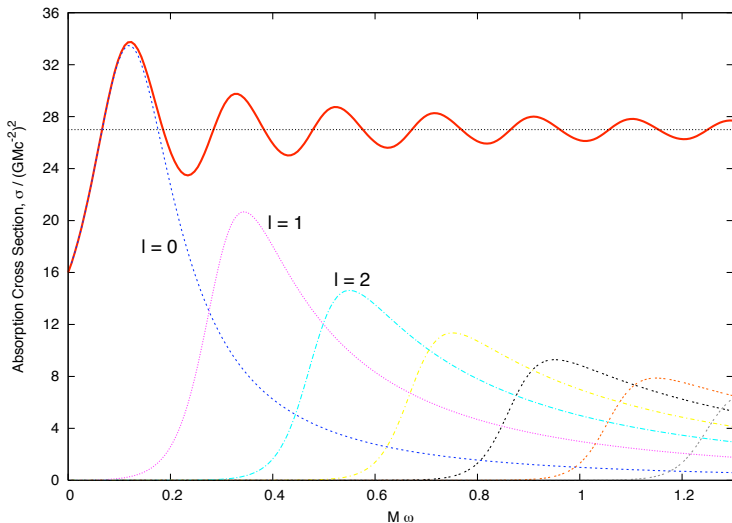


Figure: Absorption cross section for a massless scalar wave

Absorption Cross Sections (III)

- Two interesting limits:

$$\sigma_a \sim \begin{cases} 16\pi M^2 & \lambda \gg r_s \\ 27\pi M^2 & \lambda \ll r_s \rightarrow \infty \end{cases}$$

- Short-wavelength limit \Leftrightarrow Geometric optics πb_c^2 .
- Long-wavelength limit **depends on spin of field** :

$$\lim_{M\omega \rightarrow 0} \sigma_a = \begin{cases} 16\pi M^2 & s = 0 \\ 2\pi M^2 & s = 1/2 \\ 0 & s = 1 \text{ or } 2 \end{cases}$$

- Das + Gibbons: Scalar cross section approaches horizon area as $M\omega \rightarrow 0$ **for all black holes**.
- Approximations by Starobinskii & Churilov or Unruh (1976).

Absorption Cross Sections (IV)

- South America-UK collaboration:

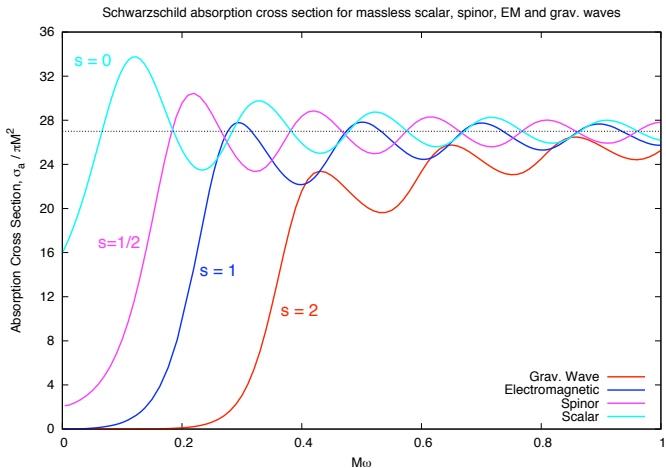
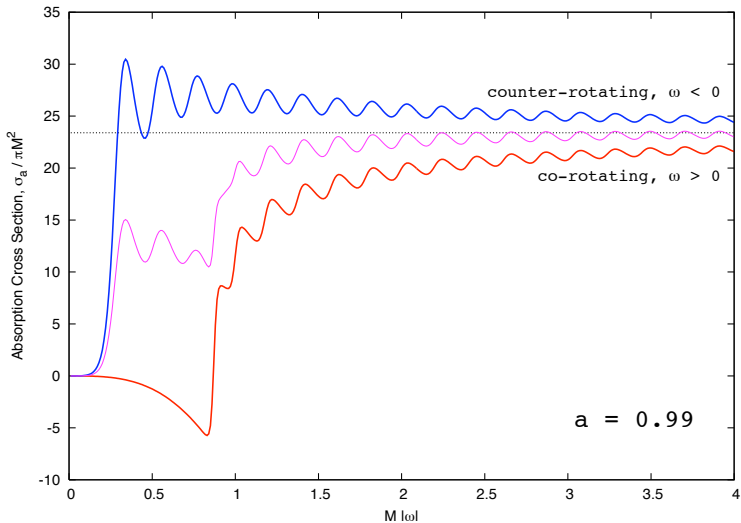


Figure: σ_a for massless scalar, neutrino, electromagnetic and gravitational waves.

Absorption Cross Sections (V)

- If black hole is rotating, **spin-rotation coupling**.



Scattering Cross Sections (I)

- Scattering amplitude

$$f(\theta) = \frac{1}{2i\omega} \sum_{l=0}^{\infty} (2l+1) \left(e^{2i\delta_l} - 1 \right) P_l(\cos \theta).$$

- Series is **poorly convergent**.
- Why? Amplitude is **divergent** as θ^{-2} at small angles \Leftrightarrow long-ranged interaction \Leftrightarrow poorly-convergent infinite series.
- Same problem for Coulomb scattering.

$$e^{2i\delta_l^N} = \frac{\Gamma(l+1-i\beta)}{\Gamma(l+1+i\beta)} \sim \beta \ln(l) \text{ as } l \rightarrow \infty$$

where $\beta = Z\alpha m/p \Leftrightarrow GM\omega/c^3$.

Scattering Cross Sections (II)

- But Coulomb case has **exact solution**

$$f_N(\theta) \equiv \frac{1}{2ip} \sum_{l=0}^{\infty} \frac{\Gamma(l+1-i\beta)}{\Gamma(l+1+i\beta)} (2l+1) P_l(\cos \theta) \quad (3)$$

$$= \frac{\beta}{2p} \frac{\Gamma(1-i\beta)}{\Gamma(1+i\beta)} [\sin(\theta/2)]^{-2+2i\beta}. \quad (4)$$

- Cross section :

$$\frac{d\sigma}{d\Omega} = \frac{\beta^2}{4p^2 \sin^4(\theta/2)} = \frac{M^2(1+v^2)^2}{4v^4 \sin^4(\theta/2)}. \quad (5)$$

- **Idea:** use this result to **remove** the long-range effect of Newtonian potential, leaving a convergent series.

Scattering Cross Sections (III)

- This method works well for scalar wave, **but not** for waves of higher spin.
- **Alternative method** : Series reduction method (1950s).
- Given a Legendre polynomial series

$$f(\theta) = \sum_{l=0} a_l^{(0)} P_l(\cos \theta)$$

divergent at $\theta = 0$, define the m th reduced series,

$$(1 - \cos \theta)^m f(\theta) = \sum_{l=0} a_l^{(m)} P_l(\cos \theta).$$

- The reduced series is obviously less divergent at $\theta = 0 \Leftrightarrow$ better convergence.
- Iterative formulae:

$$a_l^{(i+1)} = a_l^{(i)} - \frac{l+1}{2l+3} a_{l+1}^{(i)} - \frac{l}{2l-1} a_{l-1}^{(i)}.$$

Scattering Cross Sections: Results

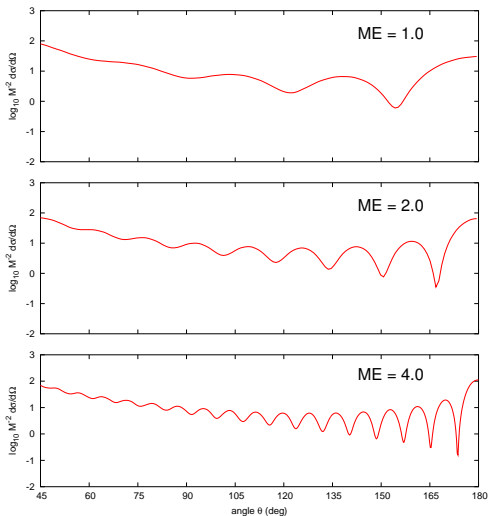


Figure: *Scalar scattering cross sections. Log scale.*

Scattering Cross Sections: Results

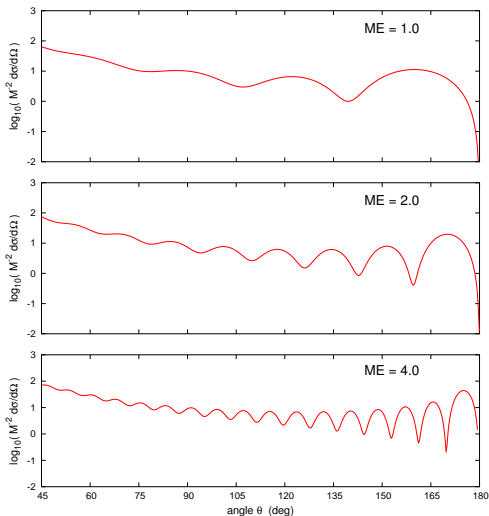


Figure: *Spinor scattering cross sections*. Shows the massless spin-half cross section for various couplings $ME \equiv GM\omega/c^3$.

Scattering Cross Sections: Results

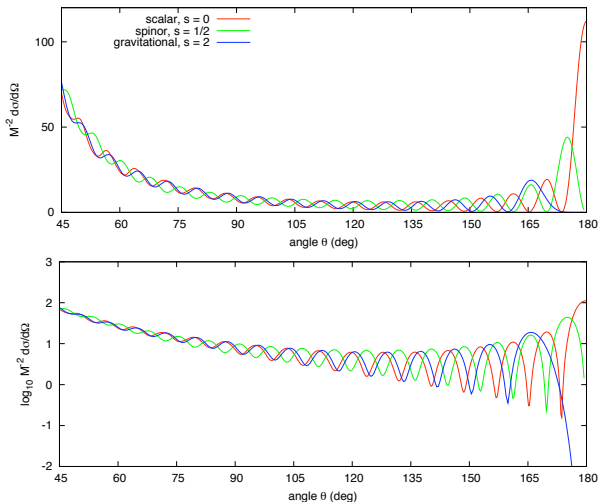
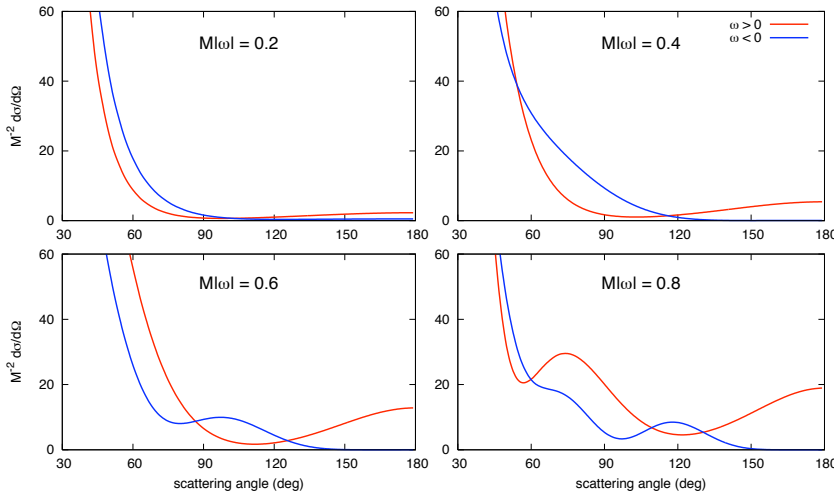


Figure: Various spins. The $s = 1$ curve is *missing*: but Luis and Ednilton will fix this!

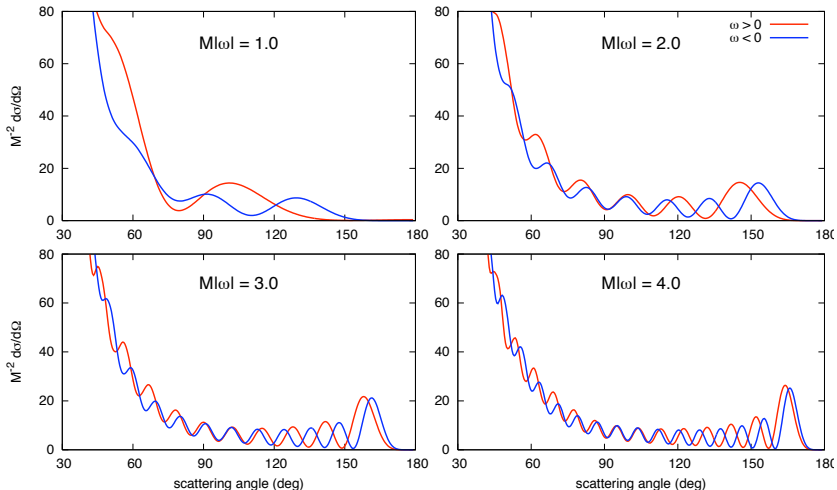
Scattering Cross Sections: Rotating Case

$a = 0.99M$, lower couplings

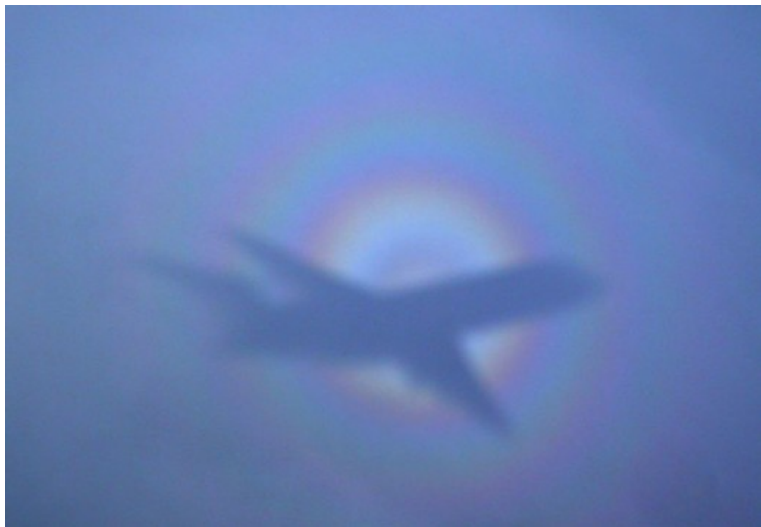


Scattering Cross Sections: Rotating Case

$a = 0.99M$, higher couplings



Glory Scattering in Optics (I)



Glory Scattering in Optics (II)



Glory Scattering from Black Holes

- Interference between rays passing around the hole in opposite senses.
- Glory approximation (Matzner *et al* 1985)

$$\frac{d\sigma}{d\Omega} \approx 2\pi\omega b_g^2 \left| \frac{db}{d\theta} \right|_{\theta=\pi} [J_{2s}(b_g\omega \sin \theta)]^2$$

- Darwin approximation (1959) for rays passing close to photon orbit:

$$\theta(b) \approx -\ln \left(\frac{b - b_c}{0.6702 b_c} \right)$$

- where $b_c = \sqrt{27}M$. Hence :

$$r_h^{-2} \frac{d\sigma}{d\Omega} \approx 3.3772 \omega r_h [J_{2s}(2.67325 \omega r_h \sin \theta)]^2$$

Summary

- Black hole absorbs and scatters incident flux
- Photon orbit $r = 3M \Leftrightarrow$ interference patterns, **glories**
- Rapid, regular oscillations in amplitude: signature of black holes?
- Tomorrow: **Acoustic holes**: Wave scattering patterns in the laboratory?