

A crash course in (higher) topos theory I

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In this first talk, I will introduce the notion of (Grothendieck) topos with examples.

Topoi (or toposes) were introduced in algebraic geometry by Grothendieck.

I will use the term "logos" instead of "topos" for reasons that will become clear.

What is logos ?

Definition

We say that a category \mathcal{E} is a *logos* (or a *topos*) if the following conditions hold:

1. \mathcal{E} is presentable;
2. Colimits are stable by base changes (distributive law);
3. The descent principle holds for monomorphisms.

A *homomorphism* of logoi $\phi : \mathcal{E} \rightarrow \mathcal{E}'$ is a cocontinuous functor which is left exact (=preserves finite limits).

The category of logoi **Logos** is a 2-category.

The category of topoi **Topos** is the opposite 2-category **Logos**^{op}.

Logos vs commutative ring

<i>Logos</i>	<i>commutative ring</i>
colimits	sums
finite limits	products
$u^* : \mathcal{E}/B \rightarrow \mathcal{E}/A$ cocontinuous	distributive law
homomorphism of logoi $\phi : \mathcal{E} \rightarrow \mathcal{E}'$	homomorphism of rings $\phi : A \rightarrow B$

<i>Logos</i>	<i>commutative ring</i>
<i>Set</i>	integers \mathbb{Z}
<i>Set[U]</i>	polynomial ring $\mathbb{Z}[X]$
Slice extension $\mathcal{E} \rightarrow \mathcal{E}/B$	monogenic extension $A \rightarrow A[x]$
lex localisation $\rho : \mathcal{E} \rightarrow Sh(\mathcal{E}, \Sigma)$	quotient rings $\rho : A \rightarrow A/J$

Examples

1. The category of sets Set is a logoi;
2. If \mathcal{E} is a logoi, then so is the category \mathcal{E}/B for any object B ;
3. The category of presheaves $Psh(\mathcal{K}) = [\mathcal{K}^{op}, Set]$ on a small category \mathcal{K} is a logoi;
4. If \mathcal{E} is a logoi and \mathcal{K} is a small category, then the category $\mathcal{E}^{\mathcal{K}} = [\mathcal{K}, \mathcal{E}]$ of diagrams $\mathcal{K} \rightarrow \mathcal{E}$ is a logoi
5. \mathcal{E} is a logoi, then so the category of sheaves $Sh(\mathcal{E}, \Sigma)$ for any set of monomorphisms $\Sigma \subseteq \mathcal{E}$;
6. Every logoi is equivalent to a category of sheaves on a small category.

Plan

1. Presentable categories
2. The distributive law
3. The descent principle
4. The logos $Set[U]$
5. Sheaves and left exact localisations

1.1 Complete and cocomplete categories

Roughly speaking, \mathcal{E} is said to be *presentable* if it is cocomplete and admits a presentation by generators and relations (see the appendix).

Recall that a category \mathcal{E} is said to be *complete* if every diagram $F : \mathcal{J} \rightarrow \mathcal{E}$ has a limit $\lim_{\leftarrow \mathcal{J}} F \in \mathcal{E}$.

A functor between complete categories $\phi : \mathcal{E} \rightarrow \mathcal{F}$ is said to be *continuous* if it preserves limits.

A category \mathcal{E} is said to be *cocomplete* if every diagram $F : \mathcal{J} \rightarrow \mathcal{E}$ has a colimit $\lim_{\rightarrow \mathcal{J}} F \in \mathcal{E}$.

A functor between cocomplete categories $\phi : \mathcal{E} \rightarrow \mathcal{F}$ is said to be *cocontinuous* if it preserves colimits.

The category of presheaves $Psh(\mathcal{K}) = [\mathcal{K}^{op}, Set]$ on a small category \mathcal{K} is complete and cocomplete.

1.2. The Yoneda functor

The presheaves on \mathcal{K} form a category $Psh(\mathcal{K}) := [\mathcal{K}^{op}, Set]$.

The *Yoneda functor* $Y : \mathcal{K} \rightarrow Psh(\mathcal{K})$ is defined by putting $Y(k) = Map(-, k) : \mathcal{K}^{op} \rightarrow Set$ for every object $k \in \mathcal{K}$.

Lemma

[Yoneda] *The presheaf $Y(k)$ is freely generated by the element $1_k \in Y(k)(k)$.*

In other words, for every $F \in Psh(\mathcal{K})$ and every element $a \in F(k)$ there exists a unique natural transformation $\phi : Y(k) \rightarrow F$ such that $\phi(1_k) = a$. It follows that

$$Hom(Y(k), F) \simeq F(k)$$

1.3. The category $Psh(\mathcal{K})$

Theorem

The category $Psh(\mathcal{K})$ is cocomplete and freely generated by the Yoneda functor $Y : \mathcal{K} \rightarrow Psh(\mathcal{K})$.

In other words, for any cocomplete category \mathcal{E} and any functor $Z : \mathcal{K} \rightarrow \mathcal{E}$ there exists a cocontinuous functor $\tilde{Z} : Psh(\mathcal{K}) \rightarrow \mathcal{E}$ such that $\tilde{Z} \circ Y = Z$.

$$\begin{array}{ccc} \mathcal{K} & \xrightarrow{Y} & Psh(\mathcal{K}) \\ & \searrow Z & \downarrow \tilde{Z} \\ & & \mathcal{E} \end{array}$$

The functor \tilde{Z} is unique up to unique isomorphism. We have

$$\tilde{Z}(F) = \int^{k \in \mathcal{K}} F(k) \times Z(k)$$

1.4 Localisation

An object X in a category \mathcal{E} is said to be *local* with respect to a morphism $u : A \rightarrow B$ if the map

$$\text{Map}(u, X) : \text{Map}(B, X) \rightarrow \text{Map}(A, X)$$

is invertible.

An object $X \in \mathcal{E}$ is said to be *local* with respect to a set of morphisms $\Sigma \subseteq \mathcal{E}$ if it is local with respect to every map in Σ .

We shall denote by \mathcal{E}^Σ the full subcategory of \mathcal{E} spanned by the Σ -local objects of \mathcal{E} .

We shall say that the subcategory \mathcal{E}^Σ is a *localisation* if the inclusion functor $\mathcal{E}^\Sigma \subseteq \mathcal{E}$ has a left adjoint

$$\rho : \mathcal{E} \rightarrow \mathcal{E}^\Sigma$$

called the *reflector*.

1.5 Presentable categories

Theorem

[Gabriel & Ulmer] *If \mathcal{K} is a small category and $\Sigma \subseteq Psh(\mathcal{K})$ is a set of maps, then the subcategory $Psh(\mathcal{K})^\Sigma \subseteq Psh(\mathcal{K})$ is reflective.*

The category $Psh(\mathcal{K})^\Sigma$ is cocomplete and the reflector $\rho : Psh(\mathcal{K}) \rightarrow Psh(\mathcal{K})^\Sigma$ is cocontinuous.

Definition

A cocomplete category \mathcal{E} is said to be *presentable* if it is equivalent to a category $Psh(\mathcal{K})^\Sigma$ for a small category \mathcal{K} and a set of maps $\Sigma \subseteq Psh(\mathcal{K})$.

For example, the category $Psh(\mathcal{K})$ is presentable.

1.6. Presentable categories

A presentable category \mathcal{E} is complete and cocomplete.

If a category \mathcal{E} is presentable then every continuous functor $F : \mathcal{E}^{op} \rightarrow \mathit{Set}$ is representable.

Theorem

If \mathcal{E} is presentable and \mathcal{C} is cocomplete, then every cocontinuous functor $\phi : \mathcal{E} \rightarrow \mathcal{C}$ has a right adjoint $\phi_\star : \mathcal{C} \rightarrow \mathcal{E}$.

In particular, a cocontinuous functor between presentable categories $\phi : \mathcal{E} \rightarrow \mathcal{F}$ has a right adjoint $\phi_\star : \mathcal{F} \rightarrow \mathcal{E}$.

2.1. The slice category \mathcal{E}/B

Recall that if B is an object in a category \mathcal{E} , then \mathcal{E}/B is a category whose objects are the maps $p : X \rightarrow B$ in \mathcal{E} .

The pair (X, p) is an object of \mathcal{E} over B .

The map $p : X \rightarrow B$ is called the *structure map* of (X, p)

A *morphism* $f : (X, p) \rightarrow (Y, q)$ in \mathcal{E}/B is a map $f : X \rightarrow Y$ in \mathcal{E} such that $qf = p$.

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow p & \swarrow q \\ & & B \end{array}$$

2.2. Internal families

In anticipation to Eric's talk, I introduce some notation of type theory.

If the category \mathcal{E} has pullbacks, then the *fiber* of a map $p : X \rightarrow B$ at an element $b : 1 \rightarrow B$ is the object $X(b) := p^{-1}(b)$ defined by the pullback square

$$\begin{array}{ccc} X(b) & \longrightarrow & X \\ \downarrow & & \downarrow p \\ 1 & \xrightarrow{b} & B \end{array}$$

In type theory, the object (X, p) is viewed as a *family of objects* $(X(b) | b : B)$ of \mathcal{E} parametrised by "internal elements" $b : B$.

The object X is the "total space" of its fibers. Symbolically:

$$X = \sum_{b:B} X(b)$$

2.3. The pushforward functor

If $u : A \rightarrow B$ is a map in a category \mathcal{E} , then the *pushforward functor*

$$u_! : \mathcal{E}/A \rightarrow \mathcal{E}/B$$

is defined by putting $u_!(X, p) = (X, up)$ for every object $X = (X, p)$ in \mathcal{E}/A .

The fiber of $u_!(X)$ at $b : 1 \rightarrow B$ is a "sum" of fibers of X :

$$u_!(X)(b) = (up)^{-1}(b) = p^{-1}(u^{-1}(b)) = \sum_{a:A(b)} X(a)$$

where $A(b) := u^{-1}(b)$.

2.4. The base change functor

If the category \mathcal{E} has finite limits, then the functor $u_!$ has a right adjoint

$$u^* : \mathcal{E}/B \rightarrow \mathcal{E}/A$$

defined by putting $u^*(Y) = (A \times_B Y, p_1)$

$$\begin{array}{ccc} A \times_B Y & \xrightarrow{p_2} & Y \\ p_1 \downarrow & & \downarrow q \\ A & \xrightarrow{u} & B \end{array}$$

for every $Y = (Y, q) \in \mathcal{E}/B$. The functor u^* is said to be the *pullback functor*, or the *base change functor* along u .

The fiber of $u^*(Y)$ at $a : A$ is the fiber of Y at $u(a)$,

$$u^*(Y)(a) = Y(u(a))$$

2.5. The distributive law

If \mathcal{E} is a logoi, then the base change functor $u^* : \mathcal{E}/B \rightarrow \mathcal{E}/A$ is cocontinuous for any map $u : A \rightarrow B$. by the second axiom.

In particular, if $(g_i : Y_i \rightarrow B)$ is a family of objects of \mathcal{E}/B indexed by a set I , then the canonical map

$$A \times_B \bigsqcup_{i \in I} Y_i \rightarrow \bigsqcup_{i \in I} A \times_B Y_i$$

is invertible. This is like the distributive law of product over sum in a commutative ring:

$$a \cdot \left(\sum_{i \in I} y_i \right) = \sum_{i \in I} a \cdot y_i$$

2.6. Internal products

Every cocontinuous functor between presentable categories has a right adjoint. Hence the base change functor $u^* : \mathcal{E}/B \rightarrow \mathcal{E}/A$ has a right adjoint

$$u_* : \mathcal{E}/A \rightarrow \mathcal{E}/B$$

for every map $u : A \rightarrow B$ in a logoi \mathcal{E} .

The functor u_* takes an object $X = (X, \rho)$ of \mathcal{E}/A to an object $u_*(X) = u_*(X, \rho)$ of \mathcal{E}/B .

In type theory notation, we have

$$u_*(X)(b) = \prod_{a:A(b)} X(a)$$

for every $b : B$, where $A(b) = u^{-1}(b)$.

The *exponential* B^A is the product of A copies of B :

$$B^A = \prod_{a:A} B$$

3.1. Subobjects

Recall that a map $u : A \rightarrow B$ in a category \mathcal{E} is said to be a *monomorphism* if the map $\text{Map}(K, u) : \text{Map}(K, A) \rightarrow \text{Map}(K, B)$ is injective for every object $K \in \mathcal{E}$.

Two monomorphisms $u : A \rightarrow B$ and $u' : A' \rightarrow B$ are *equivalent* if they are isomorphic in the category \mathcal{E}/B .

Definition

A *subobject* $S \subseteq B$ is an equivalence class of monomorphisms $A \rightarrow B$.

Let us denote the set of subobjects of A by $\text{Sub}(A)$.

The pullback of a monomorphism along any map is a monomorphism.

This defines a contravariant functor $\text{Sub} : \mathcal{E} \rightarrow \text{Set}$.

3.2. Descent for monomorphisms

If \mathcal{E} is a logoi, then the third axiom asserts that the contravariant functor

$$\text{Sub} : \mathcal{E} \rightarrow \text{Set}$$

takes colimits to limits.

In particular, have

$$\text{Sub}\left(\bigsqcup_{i \in I} X_i\right) = \prod_{i \in I} \text{Sub}(X_i)$$

for every family of objects $(X_i : i \in I)$ in \mathcal{E} .

It follows that the canonical map $\text{in}_i : X_i \rightarrow \bigsqcup_{i \in I} X_i$ is a monomorphism for every $i \in I$.

3.3. The Lawvere object Ω

The third axiom of a logoi \mathcal{E} implies that the functor $Sub : \mathcal{E}^{op} \rightarrow Set$ is representable.

Hence there exists an object $\Omega \in \mathcal{E}$ together with a subobject $\Omega_{\bullet} \subseteq \Omega$ such that for every subobject $S \subseteq B$ of an object $B \in \mathcal{E}$ there exists a unique map $\chi : B \rightarrow \Omega$ such that $\chi^{-1}(\Omega_{\bullet}) = S$.

$$\begin{array}{ccc} S & \longrightarrow & \Omega_{\bullet} \\ \downarrow & & \downarrow \\ B & \xrightarrow{\chi} & \Omega \end{array}$$

It turns out that the subobject $\Omega_{\bullet} \rightarrow \Omega$ is represented by a map $t : 1 \rightarrow \Omega$.

The exponential Ω^B is fundamental in an *elementary topos*.

3.4. The logoi *Set*

The category *Set* is a logoi. In this case we have $\Omega = \{0, 1\}$.

For every subset $S \subseteq B$ of a set B there exists a unique map $\chi : B \rightarrow \{0, 1\}$ such that $\chi^{-1}(1) = S$.

$$\begin{array}{ccc} S & \xrightarrow{\quad} & 1 \\ \downarrow & & \downarrow \{1\} \\ B & \xrightarrow{\quad \chi \quad} & \{0, 1\} \end{array}$$

3.5. The logoi $\mathit{Set}^{[1]}$

The poset $[1] = \{0 < 1\}$ is a category with a unique arrow $i : 0 \rightarrow 1$. A functor $X : [1] \rightarrow \mathit{Set}$ is a map $X(i) : X_0 \rightarrow X_1$ between two sets. A morphism $f : X \rightarrow Y$ in $\mathit{Set}^{[1]}$ is a commutative square

$$\begin{array}{ccc} X_0 & \xrightarrow{f_0} & Y_0 \\ X(i) \downarrow & & \downarrow Y(i) \\ X_1 & \xrightarrow{f_1} & Y_1 \end{array}$$

The category $\mathit{Set}^{[1]}$ is a logoi, since it is a presheaf category. The Lawvere object $\Omega \in \mathit{Set}^{[1]}$ is a map $\Omega(i) : \Omega_0 \rightarrow \Omega_1$.

Show that $\Omega_0 = \{0, u, 1\}$, $\Omega_1 = \{0, 1\}$ and that

$$\Omega(i)(0) = 0, \quad \Omega(i)(u) = 1 \quad \text{and} \quad \Omega(i)(1) = 1$$

3.6. The logoses of simplicial sets $Psh(\Delta) = [\Delta^{op}, Set]$

Recall that the *simplex category* Δ has for objects the non-empty ordinals $[n] = \{0, \dots, n\}$ ($n \geq 0$) and for morphisms the poset maps.

A *simplicial set* is a presehaf $X : \Delta^{op} \rightarrow Set$. The set $X([n])$ is denoted X_n .

The representable functor $Hom(-, [n]) : \Delta^{op} \rightarrow Set$ is denoted $\Delta[n]$.

The Lawvere object is a simplicial set $\Omega : \Delta^{op} \rightarrow Set$ such that

$$\Omega_n = Sub(\Delta[n])$$

for every $n \geq 0$. An element of Ω_n is a sub-complex of the simplicial complex $P_0([n])$.

4.1. The logoi $Set[U]$

If $Fins$ is the category of finite sets $\underline{n} = \{1, \dots, n\}$ ($n \geq 0$), then the category $[Fins, Set] = Psh(Fins^{op})$ is a logoi.

By Yoneda, every functor $F : Fins \rightarrow Set$ is a colimit of representables

$$F = \int^{\underline{n} \in Fins} F(\underline{n}) \times Hom(\underline{n}, -)$$

If $U := Hom(1, -)$, then $U^n = Hom(\underline{n}, -)$. Thus,

$$F = \int^{\underline{n} \in Fins} F(\underline{n}) \times U^n$$

This is a "polynomial expansion" of the functor F in terms of the functor $U^n : Fins \rightarrow Set$. Let us put

$$Set[U] = [Fins, Set]$$

4.2 The logos $\text{Set}[U]$

Theorem

If \mathcal{E} is a logos then for every object $A \in \mathcal{E}$ there exists a unique homomorphism of logoi $ev_A : \text{Set}[U] \rightarrow \mathcal{E}$ such that $ev_A(U) = A$.

By construction,

$$ev_A(F) = \int^{n \in \text{Fins}} F(n) \times A^n$$

for every $F \in \text{Set}[U]$. Let us put $F(A) := ev_A(F)$.

This defines a *polynomial functor* $F : \mathcal{E} \rightarrow \mathcal{E}$.

A functor $F : \text{Set} \rightarrow \text{Set}$ is polynomial if and only if it is finitary.

$\text{Set}[U]$ is equivalent to the category of finitary functors $\text{Set} \rightarrow \text{Set}$.

4.3 The logoi $\mathcal{E}/B = \mathcal{E}[x_B]$

The base change functor $p_B^* : \mathcal{E} \rightarrow \mathcal{E}/B$ along the map $p_B : B \rightarrow 1$ is a homomorphism of logoi.

By construction, $p_B^*(X) = (B \times X, p_1)$ for every object $X \in \mathcal{E}$.

In particular $p_B^*(B) = (B \times B, p_1)$ and $p_B^*(1) = (B, 1_B) = 1_B$.

Notice that the diagonal $\Delta(B) := (1_B, 1_B) : B \rightarrow B \times B$ is a morphism $x_B : 1_B \rightarrow p_B^*(B)$ in \mathcal{E}/B .

Theorem

If $\phi : \mathcal{E} \rightarrow \mathcal{F}$ is a homomorphism of logoi then for every object $B \in \mathcal{E}$ and every element $b : 1 \rightarrow \phi(B)$ there exists a unique morphism of logoi $\phi_b : \mathcal{E}/B \rightarrow \mathcal{F}$ such that $\phi_b(x_B) = b$.

Hence the extension $\iota := p_B^* : \mathcal{E} \rightarrow \mathcal{E}/B$ is freely generated by the element $x_B : 1_B \rightarrow \iota(B)(= B)$.

5.1. Sheaves

Let $u : A \rightarrow B$ be a monomorphism in a logoi \mathcal{E} . We shall say that an object $X \in \mathcal{E}$ is a *u-sheaf* if the map

$$\text{Map}(u', X) : \text{Map}(B', X) \rightarrow \text{Map}(A', X)$$

is invertible for every base change $u' : A' \rightarrow B'$ of u .

If $\Sigma \subseteq \mathcal{E}$ is a set of monomorphisms, we shall say that X is a *Σ -sheaf* if it is a *u-sheaf* for every $u \in \Sigma$.

We shall denote by $Sh(\mathcal{E}, \Sigma)$ the full subcategory of \mathcal{E} spanned by the Σ -sheaves.

The subcategory $Sh(\mathcal{E}, \Sigma) \subseteq \mathcal{E}$ is reflective and the reflector $\rho : \mathcal{E} \rightarrow Sh(\mathcal{E}, \Sigma)$ inverts every map in Σ .

5.2. Left exact localisation $\mathcal{E} \rightarrow Sh(\mathcal{E}, \Sigma)$

Theorem

Let $\Sigma \subseteq \mathcal{E}$ be a set of monomorphisms in a logoi \mathcal{E} . Then the category $Sh(\mathcal{E}, \Sigma)$ is a logoi. The functor $\rho : \mathcal{E} \rightarrow Sh(\mathcal{E}, \Sigma)$ is a homomorphism of logoi and it inverts the maps in Σ universally.

The last sentence means if $\phi : \mathcal{E} \rightarrow \mathcal{F}$ is a homomorphism of logoi which inverts every map in Σ , then there exists a unique homomorphism of logoi $\tilde{\phi} : Sh(\mathcal{E}, \Sigma) \rightarrow \mathcal{F}$ such that $\tilde{\phi} \circ \rho = \phi$.

$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{\rho} & Sh(\mathcal{E}, \Sigma) \\ & \searrow \phi & \downarrow \tilde{\phi} \\ & & \mathcal{F} \end{array}$$

Thank you for your attention!
See you tomorrow for course II on higher toposes.